

**HEALTH AND CARE SYSTEM SUSTAINABILITY AND TRANSFORMATION
PROGRAMME MEMORANDUM OF UNDERSTANDING: LOCAL AUTHORITY
APPENDIX**

To: Health Committee

Meeting Date: 10 November 2016

From: Director of Public Health

Electoral division(s): All

Forward Plan ref: n/a *Key decision:* No

Purpose: To present the Cambridgeshire and Peterborough Sustainability and Transformation Programme Memorandum of Understanding to the Health Committee. To ask for the Health Committee's approval of Appendix A: Local Authorities and the Cambridgeshire and Peterborough Sustainability and Transformation Plan.

Recommendation: The Committee is asked:

- to note the Cambridgeshire and Peterborough Sustainability and Transformation Programme Memorandum of Understanding for NHS organisations in Cambridgeshire and Peterborough
- to approve Appendix A: 'Local Authorities and the Cambridgeshire and Peterborough Sustainability and Transformation Plan' prior to sign off by the Health and Wellbeing Board.

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1. BACKGROUND

- 1.1 All NHS organisations in the Cambridgeshire and Peterborough Health System have been asked to participate in the preparation of a five year strategic plan – the Sustainability and Transformation Plan (STP). Because local authority adult social care and public health services are interdependent with NHS services, Cambridgeshire County Council and Peterborough City Council have also been asked to plan jointly with the NHS and align our services with STP where appropriate.
- 1.2 Development of the STP has been led by the Health and Care Executive (HCE) which is made up of the Chief Executives and Accountable Officers of NHS organisations including the Cambridgeshire and Peterborough Clinical Commissioning Group (CCG), local NHS Hospitals, NHS Mental Health Services and NHS Community Services. The Director of Children, Families and Adults and the Director of Public Health from Cambridgeshire County Council and Peterborough City Council attend as non-voting members of the HCE.
- 1.3 A draft Cambridgeshire and Peterborough STP has been submitted to NHS England in accordance with national deadlines, and the CCG expects to publish the final STP in late November/early December. The STP includes reference to the Joint Strategic Needs Assessments (JSNAs) and Health and Wellbeing Strategies overseen by local Health and Wellbeing Boards. More information about STP planning is available on <http://www.cambridgeshireandpeterboroughccg.nhs.uk/STP/>

2. MAIN ISSUES

- 2.1 As part of the work on the STP, local NHS organisations are being asked to sign up to a Memorandum of Understanding (MOU), attached as Annex A. This MOU requires significant changes to ways of working across NHS organisations – essentially asking NHS Chief Executives to function as a single leadership team with mutual understanding, aligned incentives and co-ordinated action.
- 2.2 It is not feasible for Local Authorities to sign up to the full MOU due to decision making processes which are democratically accountable, and different financial and governance structures to the NHS. Because of this, a separate Appendix to the MOU has been developed for agreement by Local Authorities. This will require sign off by the Local Authority Chief Executive, and by Chair of the Health and Wellbeing Board (HWB), in line with the statutory HWB role to promote integrated working across local authorities and the NHS.
- 2.3 The MOU Appendix: 'Local Authorities and the Cambridgeshire and Peterborough Sustainability and Transformation Plan' has four sections:

Introduction

The introduction briefly describes the context of the local health and care economy and the Sustainability and Transformation Plan, and the role of local authorities within this.

Key behaviours

This section describes the behaviours required from the Health and Care Executive and Health and Wellbeing Board members in order to build trust and relationships across the system, to deliver the STP.

Key principles

This section describes the key principles of how organisations will work together to deliver the STP.

Democratic requirements and local authority governance

This section outlines how senior officers and Health and Wellbeing Boards will work with NHS organisations to deliver the STP, while making clear that that local authority policy and financial decisions are subject to the constitutional decision making arrangements within their respective authorities, which are led by elected Councillors.

- 2.4 While the final sign off of the Local Authority STP MOU Appendix will be by the Local Authority Chief Executive and the Chair of the Health and Wellbeing Board, the Appendix is also being taken to the Adults Committee and the Health Committee for approval, due to the importance of both the adult social care and public health functions of the Council to effective transformation of the local health and care system.
- 2.5 In July 2016, the Adults Committee and Health Committee endorsed a previous version of a Health and Care Executive Governance Framework. The new STP Memorandum of Understanding and Local Authority STP MOU Appendix replace the HCE Governance Framework endorsed in July.

3. ALIGNMENT WITH CORPORATE PRIORITIES

3.1 Developing the local economy for the benefit of all

A well functioning health and care system will be a factor in attracting and retaining workforce in Cambridgeshire.

3.2 Helping people live healthy and independent lives

A key purpose of the Sustainability and Transformation Plan is to ensure that the right, sustainable, services are in place to support people to live healthy and independent lives.

3.3 Supporting and protecting vulnerable people

A key purpose of the Sustainability and Transformation Plan is to ensure that the right, sustainable, services are in place to support and protect people who are vulnerable due to health conditions.

4. SIGNIFICANT IMPLICATIONS

4.1 Resource Implications

- Resources invested in social care services are relevant to the STP, due to the importance of close joint working with NHS services at local level. The Local

Authority STP MOU Appendix makes a statement of intent to highlight and avoid 'cost shunting' to other partners, and to adopt an 'invest to save' approach. It also states clearly that 'local authority policy and financial decisions are subject to the constitutional decision making arrangements within their respective authorities, with are led by elected Councillors.' There are no direct financial commitments within the document.

4.2 Statutory, Risk and Legal Implications

The Local Authority STP MOU Appendix has been reviewed by local authority lawyers in both Cambridgeshire and Peterborough, who are satisfied that the MOU outlines the principles of joint working and does not have adverse legal implications or significant risks to the authorities.

4.3 Equality and Diversity Implications

There are no immediate implications. Organisations are subject to equalities legislation when planning services.

4.4 Engagement and Consultation Implications

The work of the Health and Care Executive will include an ongoing programme of stakeholder and public engagement. Any significant service changes would be subject to public consultation in line with the relevant legislation.

4.5 Localism and Local Member Involvement

There are no significant implications at this point. Local Members may wish to become involved in future public consultations on STP transformation plans, if these are relevant to their divisions.

4.6 Public Health Implications

A well functioning and sustainable health and care system is important for the overall health of the local population.

Implications	Officer Clearance
Have the resource implications been cleared by Finance?	Yes Name of Financial Officer: Martin Wade
Has the impact on Statutory, Legal and Risk implications been cleared by LGSS Law?	Yes Name of Legal Officer: Quentin Baker
Are there any Equality and Diversity implications?	Yes Name of Officer: Val Thomas
Have any engagement and communication implications been cleared by Communications?	Yes Name of Officer: Matthew Hall
Are there any Localism and Local Member involvement issues?	Yes Name of Officer: Val Thomas
Have any Public Health implications been cleared by Public Health	Yes Name of Officer: Val Thomas

Source Documents	Location
Sustainability and Transformation Plan information	http://www.cambridgeshireandpeterboroughccg.nhs.uk/STP/
Paper to Health Committee (July 2016) on the Health and Care Executive Governance Framework	https://cmis.cambridgeshire.gov.uk/ccc_live/Meetings/tabid/70/ctl/ViewMeetingPublic/mid/397/Meeting/189/Committee/6/Default.aspx