

Appendix 2 – Table of updates to H&CI Risk Register

Date: November 2015

Risk No	Risk Description	Details of Changes
H&CI 1	Business Disruption	Additional control (#4) added. Actions updated: completed actions have been removed.
H&CI 2	Failure to plan the 2016/17 Business Plan	Actions updated; completed actions have been removed.
H&CI 3	Failure to deliver the 2015/16 Business Plan	Additional controls (#5-#8) added.
H&CI 4	Failure to manage ETE Information Systems	Additional control (#6) added Actions updated.
H&CI 5	Organisational Change - ETE	No update. (Currently no live actions)
H&CI 6 (CR 30)	Failure to deliver effective waste management services	Inherent Risk probability revised from 3 to 4 (increasing Inherent Risk score from 15 to 20) Controls reworded. Existing actions updated and an additional 4 actions added.
H&CI 7	Failure to have appropriate processes in place to protect employees	Actions updated.
H&CI 8	Failure to effectively transfer from a Leader/Cabinet model to a committee system	Actions have been completed. <i>Risk removed from the Register.</i>
H&CI 10	Operational knowledge/ skills lost as a result of Supporting Business and Communities restructure	<i>Risk added to the register</i>
H&CI 11	Failure to deliver the Library Service Transformation	<i>Risk added to the register</i>
H&CI 12	Failure to implement the Highways Service Transformation	<i>Risk added to the register</i>

H&CI Completed risk actions

H&CI 1 ETERR1	Business Disruption	ETE BCP Task & Finish Group to be set up to ensure actions following the BCP audit are complete.	Apr-15
H&CI 1 ETERR1	Business Disruption	Reps to be provided with training regarding the new Business Impact Assessment and Risk Assessment templates	Apr-15
H&CI 1 ETERR1	Business Disruption	Heads of Service to review and agree ETE Core Functions.	Apr-15
H&CI 6 (CR 30) ETERR10	Failure to deliver effective waste management services	1. Programme Manager resource commissioned from Local Partnerships.	Oct-15
H&CI 6 (CR 30) ETERR11	Failure to deliver effective waste management services	3. Peer review of existing waste PFI contract management arrangements scheduled	Jul-15
H&CI 6 (CR 30) ETERR12	Failure to deliver effective waste management services	4. Agree membership and governance arrangements or project delivery team	Jul-15
H&CI 6 (CR 30) ETERR13	Failure to deliver effective waste management services	9. Membership and roles of negotiating team agreed	Sep-15