

**CORPORATE DIRECTORATES' RISK REGISTER**

**To:** General Purposes Committee

**Meeting Date:** 26 November 2019

**From:** Head of Business Intelligence,  
Business Improvement and Development

**Electoral division(s):** All

**Forward Plan ref:** Not applicable      **Key decision:** No

**Purpose:** To provide a 6 monthly update on the current Corporate Directorates' Risk Register.

**Recommendation:** The Committee is asked to note and comment on the Corporate Directorates' Risk Register.

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## **1. BACKGROUND**

- 1.1 Cambridgeshire County Council has a Corporate Risk Register which is reported to General Purposes Committee and the Audit and Accounts Committee as part of the performance report, and reviewed annually at General Purposes Committee. The Corporate Risk Register covers the activity of the whole Council.
- 1.2 In addition to the Corporate Risk Register, the Corporate Directorates (Resources, Business Improvement and Development, and Customer and Digital) have their own Risk Register which highlights key strategic risks which are being managed within the directorates.
- 1.3 Following a risk management audit undertaken by Zurich, the Council's risk management procedures require that all directorates report their risk registers regularly to the service committee that oversees their work. Since GPC oversees the work of the Corporate Directorates, this report provides information on their risk registers as per the procedures.

## **2. MAIN ISSUES**

- 2.1 The Corporate Directorates' risk register includes the main strategic risks from three Directorates; Resources, Business Improvement & Development and Customer & Digital and is included as an appendix to this report. The risk registers are reviewed quarterly at Directorate Management Team meetings.
- 2.2 As a County Council, the risk appetite for all risks has been set at 16 (4 Likelihood / 4 Consequence), this will indicate that any risk at this level or above will need to be reassessed in terms of its tolerance level and any additional mitigating actions may need to be introduced to further minimise the risk. In some cases, the risk may need to remain for a short-period of time until the mitigating actions take effect.
- 2.3 There are no risks that currently are scored 16 or higher. The highest scoring risks are:
  1. Staffing resources are not sufficient to meet business need (*Resources Risk # 4*)
  2. IT line of business systems and Web/Digital services do not enable efficient and effective service delivery (*Customer and Digital Services Risk # 2*)
  3. Budgets are not delivered leading to Council wide material overspend (*Resources Risk # 1*)
  4. Statutory or legislative obligations are not delivered (*Resources Risk # 3*)
- 2.4 Each of these risks has a set of controls, mitigating actions to manage these risks are identified on the risk register.
- 2.5 It is our intention to report the Corporate Directorates' Risk Register to GPC twice a year. GPC will be invited to note and comment on the risks.

## **3. ALIGNMENT WITH CORPORATE PRIORITIES**

### **3.1 A good quality of life for everyone**

There are no significant implications for this priority.

### **3.2 Thriving places for people to live**

There are no significant implications for this priority.

### **3.3 The best start for Cambridgeshire's children**

There are no significant implications for this priority.

## **4. SIGNIFICANT IMPLICATIONS**

### **4.1 Resource Implications**

This report discusses the risks identified by the Corporate Directorates and the mitigating actions they are taking to manage these.

### **4.2 Procurement/Contractual/Council Contract Procedure Rules Implications**

There are no significant implications within this category.

### **4.3 Statutory, Legal and Risk Implications**

This report discusses the risks identified by the Corporate Directorates and the mitigating actions they are taking to manage these.

### **4.4 Equality and Diversity Implications**

There are no significant implications within this category.

### **4.5 Engagement and Communications Implications**

There are no significant implications within this category.

### **4.6 Localism and Local Member Involvement**

There are no significant implications within this category.

### **4.7 Public Health Implications**

There are no significant implications within this category.

<b>Implications</b>	<b>Officer Clearance</b>
<b>Have the resource implications been cleared by Finance?</b>	Not applicable
<b>Have the procurement/contractual/ Council Contract Procedure Rules implications been cleared by the LGSS Head of Procurement?</b>	Not applicable
<b>Has the impact on statutory, legal and risk implications been cleared by the Council's Monitoring Officer or LGSS Law?</b>	Not applicable
<b>Have the equality and diversity implications been cleared by your Service Contact?</b>	Not applicable
<b>Have any engagement and communication implications been cleared by Communications?</b>	Not applicable
<b>Have any localism and Local Member involvement issues been cleared by your Service Contact?</b>	Not applicable
<b>Have any Public Health implications been cleared by Public Health</b>	Not applicable

<b>Source Documents</b>	<b>Location</b>
None	Not applicable