

CHILDREN FAMILIES AND ADULTS – RISK REGISTER

To: **Adults Committee**

Meeting Date: **9 March 2017**

From: **Wendi Ogle-Welbourn, Executive Director, Children Families and Adults**

Electoral division(s): **All**

Forward Plan ref: **N/A** *Key decision:* **No**

Purpose: **To note the risks on the CFA Risk Register, and seek views on the risks and mitigating action**

Recommendation: **To endorse the CFA Risk Register and management of the identified risks**

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1.0 BACKGROUND

- 1.1 The Children, Families and Adults (CFA) Directorate manages and monitors risks using a risk register, in accordance with Council policy and procedures. The CFA Risk Register is attached as Appendix 1.
- 1.2 The Council also maintains a Corporate Risk Register, which brings together the most important risks from each directorate of the Council, and is monitored by Strategic Management Team (SMT), General Purposes Committee (GPC) and the Audit and Accounts Committee. The most recent published version of the Corporate Risk Register can be found at: <http://tinyurl.com/hcao6ms>
- 1.3 The CFA Risk Register was last reported to Committee in July 2016.

2.0 MAIN ISSUES

- 2.1 The CFA Risk Register is reviewed quarterly by CFA Management Team. This version of the CFA Risk Register has been updated to the end of Quarter 3 2016-17.
- 2.2 Council risk management policy requires that each risk is scored twice, firstly the 'inherent' risk (the risk of something happening if nothing was done to stop it) and secondly the 'residual' risk (the risk of something happening once the controls have been taken into account).
- 2.3 The Risk Register contains controls to manage the risk and actions to address particular issues or to establish or improve controls. Controls are active, in the sense that they describe 'business-as-usual' systems or procedures that are in place and operating to reduce a risk's likelihood or impact. Some risks have actions, which are activities that are in place in order to address a particular issue, introduce a new control, or improve an existing one. The lack of an action does not imply that a risk is not being managed; rather that the existing set of controls is sufficient to keep the residual risk score at an acceptable level in the current situation.
- 2.4 Some actions refer to other strategies or action plans. Status reports for these action plans are available on request.
- 2.5 A summary risk map is provided in Appendix 2, which shows the different risk scores graphically. Overall, the risk profile is as follows:

Green	Amber	Red
1	14	0

- 2.6 The following risks have been removed from the Risk Register:
- *Insufficient availability of supported housing schemes due to the impact of the capped housing benefit at Local Housing Allowance (LHA) levels* – The Government has postponed of the introduction of the benefits cap to April 2019, and announced that there will be a new funding model to ensure the sector is funded at current levels.
 - *Insufficient capacity of Early Help Services to support children, young*

people and families – Early Help is a key element of services to safeguard children and families. The action in risk 1, failure of the Council's arrangements for safeguarding vulnerable children and adults, is to deliver the Children's Change Programme, which encompasses a review of capacity in Early Help. Risks associated with capacity in Early Help are therefore owned by the Children's Change Programme, and should not be duplicated on this register.

3.0 ALIGNMENT WITH CORPORATE PRIORITIES

3.1 Developing the local economy for the benefit of all

There are no significant implications for this priority.

3.2 Helping people live healthy and independent lives

There are no significant implications for this priority.

3.3 Supporting and protecting vulnerable people

There are no significant implications for this priority.

4.0 SIGNIFICANT IMPLICATIONS

4.1 Resource Implications

4.1.1 There are no significant implications within this category.

4.2 Statutory, Legal and Risk

4.2.1 This report discusses the CFA Risk Register, which records key risks to CFA service delivery and the controls and actions to manage them. The Risk Register is part of the Council's risk management framework, which is a key part of the assurance of the Annual Governance Statement, as part of the annual Statement of Accounts.

4.3 Equality and Diversity

4.3.1 There are no significant implications within this category.

4.4 Engagement and Communications

4.4.1 There are no significant implications within this category.

4.5 Localism and Local Member Involvement

4.5.1 There are no significant implications within this category.

4.6 Public Health

4.6.1 There are no significant implications within this category.

Implications	Officer Clearance
Have the resource implications been cleared by Finance?	No implications Name of Financial Officer: M Wade (CYP) / T Kelly (Adults)
Has the impact on Statutory, Legal and Risk implications been cleared by LGSS Law?	No Name of Legal Officer: Lynne Owen
Are there any Equality and Diversity implications?	No implications Name of Officer: CFA Service Director
Have any engagement and communication implications been cleared by Communications?	No implications Name of Officer: Simon Cobby
Are there any Localism and Local Member involvement issues?	No implications Name of Officer: CFA Service Director
Have any Public Health implications been cleared by Public Health	No implications Name of Officer: Tess Campbell

Source Documents	Location
Housing Benefit: Written statement - HCWS154, 15 September 2016	See http://www.parliament.uk/business/publications/written-questions-answers-statements/written-statement/Commons/2016-09-15/HCWS154/