

AUDIT AND ACCOUNTS COMMITTEE FORWARD AGENDA PLAN

MEETING DATE REPORT DEADLINES AND REPORT TITLES	Frequency of report	Corporate/Service Director /external officer responsible	Report author
26th JANUARY 2016 Deadline for reports to be with Democratic Services: Mid-day 12th January 2016			
Minute Log	Each meeting	Democratic Services	Rob Sanderson
External Audit - Annual Audit Letter 2014/15 Audit Not available for the November meeting as it could not be written until after the Accounts were completed signed off	Annual	External Audit	PWC
Annual Certification Report on those charged with Governance (Grants) to include cover sheet with recommendation on what Audit and Accounts Committee should be doing with report	Once a year	External Audit	BDO LLP
Integrated Resources and Performance Report	Each Cycle would always be one that had already been through General Purposes Committee	Chief Finance Officer	C Malyon / P Emmett
Internal Audit Progress Report (Including Progress of	Each meeting	Interim Head of Internal	Neil Hunter

Implementation of Management Actions and Internal Audit Plan Update) <i>Relevant officers to attend the Committee to be invited by Head of Internal Audit where management actions have gone beyond the next agreed target date</i>		Audit	
Risk Updates Report		Director, Customer Services and Transformation	Sue Grace / N Hunter
15th March 2016 Deadline for reports to be with Democratic Services : Mid-day Tuesday 1st March 2016			
Cambridgeshire County Council External Audit Plan 2015-16 to include cover sheet with recommendations on what Auditors wish the Audit and Accounts Committee to agree	External Audit	BDO LLP	BDO LLP
The Council's Assurance Framework: Update on Assurances Received	twice a year – (November / March)	Interim Head of Internal Audit	Neil Hunter
Internal Audit Plan 2016/17	Annual to the March meeting	Interim Head of Internal Audit	Neil Hunter
Risk Updates Report		Director, Customer Services and Transformation	Sue Grace
The Council's Assurance Framework: Update on Assurances Received	Agreed at July 2013 meeting this	Interim Head of Internal Audit	Neil Hunter

	would revert back to twice a year – (November and March)		
Internal Audit Progress Report (Including Progress of Implementation of Management Actions and Internal Audit Plan Update) <i>Relevant officers to attend the Committee to be invited by J Idle where management actions have gone beyond the next agreed target date</i>	Each meeting	Interim Head of Internal Audit	Neil Hunter
Integrated Resources and Performance Report	Each Cycle would always be one that had already been through General Purposes Cttee	Chief Finance Officer	C Malyon / P Emmett
7TH June 2016 Deadline for reports to be with Democratic Services : Mid-day Tuesday 2016			
Minute Log Update	Each meeting	Democratic Services	Rob Sanderson
Draft Annual Governance Statement	Annual	Interim Head of Internal Audit	Neil Hunter
Annual Risk Management Report	Annual	Director, Customer Services and Transformation	Sue Grace / Neil Hunter

Annual Internal Audit Report (to be reported on to Council in October)	Annual	Interim Head of Internal Audit	Neil Hunter
Review of Terms of Reference	Once a year	Interim Head of Internal Audit	N Hunter
Integrated Resources and Performance Report	Each Cycle would always be one that had already been through General Purposes Committee	Chief Finance Officer	C Malyon / P Emmett
12TH JULY 2016			
Deadline for reports to be with Democratic Services – Mid-day Tuesday			
Minute Log Update	Each meeting	Democratic Services	Rob Sanderson
Draft Statement of Accounts: 2015-16	Annual	Chief Finance Officer / Strategic Finance Manager / Group Accountant	Chris Malyon / Ian Smith / Chris Yates
LGSS Draft Accounts (for information only)	Annual	Deputy S151 Officer. LGSS	Jon Lee /Iain Jenkins Head of Finance (Deputy S151 Officer) LGSS
Code of Corporate Governance - updated document	Annual	Interim Head of Internal Audit	Neil Hunter

Internal Audit Progress Report (Including Progress of Implementation of Management Actions and Internal Audit Plan Progress) <i>Relevant officers to attend the Committee to be invited by Neil Hunter where management actions have gone beyond the next agreed target date</i>	Each meeting except June as this is too close to the July meeting	Interim Head of Internal Audit	Neil Hunter
Audit and Accounts Committee Training Plan	Once a year	Interim Head of Internal Audit	Neil Hunter

20TH SEPTEMBER 2016 Deadline for reports to be with Democratic Services: Mid-day Tuesday			
Minute Log Update	Each meeting	Democratic Services	Rob Sanderson
Safe Recruitment in Schools Update	regular update	Children and Young People	Keith Grimwade /
Accounts: <ul style="list-style-type: none"> Revised Statement of Accounts <ul style="list-style-type: none"> ISA 260 Report and Letter of Representation and ISA 260 Report – Pension Fund (to include the approach to be undertaken to identify value for money) 	Annual	Chief Finance Officer / Strategic Finance Manager / Group Accountant External Audit	Chris Malyon / Chris Yates New external auditors
LGSS Accounts	Annual	Deputy S151 Officer. LGSS	Jon Lee / Iain Head of Finance

			(Deputy S151 Officer) LGSS
Internal Audit Progress Report (Including Progress of Implementation of Management Actions and Internal Audit Plan Update)	Each meeting	Interim Head of Internal Audit	Neil Hunter
Risk Updates Report	Regular	Director, Customer Services and Transformation	Sue Grace / D Thorp
22ND NOVEMBER 2016 Deadline for reports to be with Democratic Services: Mid-day Tuesday			
Minute Log Update	Each meeting	<i>Democratic Services</i>	Rob Sanderson
The Council's Assurance Framework: Update on Assurances Received	Agreed at July 2013 meeting this would revert back to twice a year – (November March)	<i>Interim Head of Internal Audit</i>	Neil Hunter
Internal Audit Progress Report (Including Progress of Implementation of Management Actions and Internal Audit Plan 2015/16 update) including for the November meeting more information on fraud investigations <i>Relevant officers to attend the Committee to be invited by E Williams where management actions have gone beyond the next agreed target date</i>	Each meeting	<i>Interim Head of Internal Audit</i>	Neil Hunter
Audit and Accounts Committee Training Plan	Once a year	<i>Interim Head of Internal Audit</i>	Neil Hunter

Integrated Resources and Performance Report	Each Cycle would always be one that had already been through General Purposes Committee	<i>Chief Finance Manager</i>	C Malyon / P Emmett
24th JANUARY 2017 Deadline for reports to be with Democratic Services: Mid-dayth January 2017			
21ST March 2017 Deadline for reports to be with Democratic Services : Mid-day Tuesday March 2017			

Notes

Risk Management Update reports to March, June, September and January.
The June report will also be the Annual Risk Management Report

2015/16 cycle will be:

- Jan, following SMT November review of corp risk
- March, following SMT February
- June following SMT May review
- September, following SMT August review

Integrated Resources and Performance Report every cycle except July in 2015 as the meeting is too near the June meeting and General Purposes Committee is later in July.

To be Re-programmed:

Workforce Strategy and Model Update Report	One off	Human Resources	Martin Cox /Janet Maulder
--	---------	-----------------	---------------------------

Update 12th November r 2015 – There may need to be further updates to be suggested by officers at the meeting and from members of the Committee