

Appendix 2

Internal Audit Plan 2014-15 - Progress Update

Directorate	Description	Report	Status	Date to AAC
Customer Service and Transformation	Information Governance		Final	Sep-14
	Business Continuity		Draft	
	Digital by Default			
Children, Families and Adults	Commissioning (incl assessments)/Contracting			
	Direct Payments			
	Care Income			
	Traded Services		WIP	
	Vulnerable Client Monies Management		WIP	
	Personal Budgets for Children		Draft	
	Adoption Service Partnership (Embedded Assurance)	Report 1	Draft	
		Report 2		
	Early Help Project (Embedded Assurance)	Report 1	Final	Nov-14
		Report 2	WIP	
	Better Care Fund		WIP	
	Older Peoples Service Financial Management (Embedded Assurance)	Report 1	Advice Provided	
		Report 2	WIP	
Schools	Schools Financial Value Standard / Financial Governance within Schools		10 Finals, 1 Draft, 1 WIP	Jan-15
	Safer Recruitment in Schools		1 Final, 1 WIP	Nov-14
	Schools Thematic (other) -Purchasing and Payments		WIP	
	Schools Causing Financial Concern		Advice Provided	
	Schools Becoming Academies			
Economy, Transport and Environment	Waste PFI		WIP	
	Cambridgeshire Future Transport			
	Carbon Reduction Commitment		Final	Sep-14
	Section 106		WIP	
	City Deal		Final	Jan-15
	Highway Services Contract		Final	Sep-14
	Superfast Broadband (Embedded Assurance)			
Public Health	Commissioning /Contracting		Final	Sep-14
	Effectiveness of Public Health in Cambridgeshire			
	Health Checks		WIP	
	Public Health Outcomes Framework			
	Information Governance in Public Health		WIP	
	Public Health Grant		Final	Jan-15
Council-Wide	Delivery of 2014/15 Business Plan Savings		Final	Jan-15
	Health and Safety			
	New Governance Model			
	Programme & Project Management		Draft	
Grant Certification	SeNS (Seniors Network Support)	Claim 1	Complete	
		Claim 2		
	ECOOP	Claim 1	Complete	
		Claim 2	WIP	
	Great War	Claim 1	Complete	
		Claim 2	Complete	
	LSTF (Local Sustainable Transport Fund)		WIP	
	Flood Damaged Roads Funding		Complete	
	Better Bus Area Fund		Complete	
	Bike Friendly Cities	Claim 1	Complete	
		Claim 2	Complete	
	Local Transport Capital Block Funding	Claim 1	Complete	
		Claim 2	Complete	
	Troubled Families Grant Verification	Q.1.	Complete	
		Q.2.	Complete	
		Q.3.	Complete	
		Q.4.		
Governance	Annual Governance Statement/Code of Corporate Governance		Complete	Jun/Jul 14
	Ethics		Final	Nov-14
	Assurance Framework			
			Report to AAC	Jan-15
	Budget Monitoring and Control (Revenue)		Final	Nov-14

LGSS -Finance	Capital Programme		
		Draft	

LGSS -People, Performance & Transactions	Staff Performance Management		
	Debt Recovery	WIP	
	Procure to Pay	Briefing Note	Jan-15
	Payroll	WIP	
	General Ledger	WIP	
	Cash Management	WIP	
	Accounts Receivable	WIP	
	User Access	WIP	
	Pensions	WIP	
I. T. Audit			
	IT Governance	WIP	
	IT Systems Security		
	IT Resilience		
External Work			
	Cromwell Academy	3 Reports	Jan-15
Contingency	Coroners Service	Final	Sep-14
	Looked After Children & Corporate Parenting (2013/14) - CFA	Final	Jul-14
	Safe Recruitment (2013/14) - CFA	Final	Jul-14
	Delayed Discharges (2013/14) - CFA	Final	Nov-14
	Pupil Premium - CFA	11 WIP	
	Investing in Highways Transport Solutions (2013/14) - ETE	Final	Jul-14
	Street Lighting - Energy Management (2013/14) - ETE	Final	Sep-14
	Assurance on Major Projects - Council Wide	WIP	
	Workforce Retention & Knowledge Management (2013/14) - LGSS PP&T	Final	Sep-14
	Audit Implications of E-Recruitment	Completed	N/A
Counter Fraud	Special Investigations	2 Completed 2 Ongoing	
	Preventative / Proactive Anti-Fraud Control Work		
	NFI	Data Sets Submitted	
Advice & Guidance	Follow Ups		
	Other Chargeable Work		
Strategic Risk Management			
	Corporate Risk Register Maintenance and Update /Attendance and Reports to Corporate Risk Group	Reports to AAC	Jun 14, Sep 14, Jan 15

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