

**CORPORATE RISK REGISTER**

*To:* **General Purposes Committee**

*Date:* **7th October 2014**

*From:* **Director Customer Services and Transformation**

*Electoral division(s):* **All**

*Forward Plan ref:* **Not applicable** *Key decision:* **No**

*Purpose:*

- **To provide the Committee with the profile of Corporate risks faced by the Council**
- **To provide details of residual red risks and any significant changes to the Corporate Risk Register since the last report to the Committee in July 2014.**

*Recommendation:* **General Purposes Committee is recommended to note the Risk Management Report.**

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## **1. BACKGROUND**

- 1.1 In accordance with best practice, the Council operates a risk management approach at corporate and service levels across the Council, seeking to identify key risks which might prevent the Council's priorities, as stated in the Business Plan, from being successfully achieved.
- 1.2 The risk management approach was reported to the General Purposes Committee on 1 July and approved.
- 1.3 In accordance with previous practice significant updates to Corporate Risks will be reported to the GPC on a quarterly basis. Residual 'red' risks will also be reported to GPC on this basis.

## **2. CORPORATE RISK REGISTER UPDATE**

- 2.1 Following the review of corporate risk by Strategic Management Team (SMT) on 18 August, SMT is confident that the Corporate Risk Register (CRR) is a comprehensive expression of the main risks faced by the Council and that mitigation is either in place, or in the process of being developed, to ensure that each risk is appropriately managed.
- 2.2 **Appendix 1** shows the profile of Corporate Risk against the Council's risk scoring matrix and illustrates that there is 1 red residual risk:

Risk 9, 'Failure to secure funding for infrastructure'. This risk remains unchanged from the previous report to the Committee. The red rating of this risk reflects the significant reduction in central Government funding for school infrastructure. An extract from the CRR in respect of Risk 9 is attached at **Appendix 2**.

- 2.3 There are no significant changes to the Corporate Risk Register.

## **3. SERVICE RISK**

- 3.1 Executive and Corporate directorate risk registers are up to date in accordance with the Council's Risk Management Procedures document which requires quarterly review as a minimum.
- 3.2 The table overleaf shows the current profile of service risk:

## **ANALYSIS OF DIRECTORATE RESIDUAL RISKS AS AT AUGUST 2014**

<b>DIRECTORATE</b>	<b>Green</b>		<b>Amber</b>		<b>Red</b>		<b>Total</b>	
	<b>May</b>	<b>Aug</b>	<b>May</b>	<b>Aug</b>	<b>May</b>	<b>Aug</b>	<b>May</b>	<b>Aug</b>
Children, Families and Education	2	2	13	13	1	0	16	15
Economy, Transport and Environment	0	0	14	17	0	0	14	17
Corporate	0	0	5	7	0	0	5	7
Public Health	2	2	12	15	4	1	18	18
<b>TOTAL</b>	4	4	44	52	5	1	53	57

The Table illustrates that there are 57 risks recorded in service risk registers. 56 of the risks are managed within the Council's stated risk appetite of a maximum score of 15 as defined in the Risk Management Policy.

The Table illustrates that there is one red residual risk, in Public Health, as detailed below. A significant number of actions are proposed against this risk which should bring it to within the Council's stated risk appetite.

<b>RISK</b>	<b>RISK DETAIL</b>	<b>COMMENTS</b>
1	Failure to address health inequalities, particularly in the north of the County	The red rating reflects the findings of the public health outcomes framework(PHOF) and annual public health report which identified several health behaviours which will lead to health inequalities in the future

## **4. ALIGNMENT WITH PRIORITIES AND WAYS OF WORKING**

4.1 Risk management seeks to identify and to manage any risks which might prevent the Council from achieving its 3 priorities of:

- Develop the local economy for the benefit of all
- Help people live healthy and independent lives
- Support and protect vulnerable people

## **5. SIGNIFICANT IMPLICATIONS**

### **5.1 Resource Implications**

The corporate risk around funding infrastructure highlighted in this report has associated implications for wider Council resources. These are outlined in Appendix 2.

### **5.2 Statutory, Risk and Legal Implications**

Details included within this report and appendices.

### **5.3 Equality and Diversity Implications**

There are no significant implications within this category.

### **5.4 Engagement and Consultation Implications**

There are no significant implications within this category.

### **5.5 Localism and Local Member Involvement**

There are no significant implications within this category.

### **5.6 Public Health Implications**

There are no significant implications within this category.

<b>Source Documents</b>	<b>Location</b>
Corporate Risk Register	Box Res 1415 Shire Hall Castle Hill Cambridge, CB3 0AP