Agenda Item No. 6

INTEGRATED RESOURCES AND PERFORMANCE REPORT FOR THE PERIOD ENDING30THSEPTEMBER2015

To:	**Audit & Accounts Committee**
Date:	**24th November 2015**
From:	**Chief Finance Officer**
Electoral division(s):	**All**
Forward Plan ref:	**N/A** *Key decision:* **N/A**
Purpose:	**To present financial and performance information to assess progress in delivering the Council's Business Plan.**
Recommendations:	**The Committee is asked to:**

Note that the General Purposes Committee on 24th Novemberis asked to:

a) **Analyse resources and performance information and note the remedial action currently being taken and considers if any further remedial action is required.**

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1. PURPOSE

1.1 To present financial and performance information to assess progress in delivering the Council's Business Plan.

2. OVERVIEW

2.1 The following table provides a snapshot of the Authority's forecast performance at year end by value, RAG (Red, Amber, Green) status and direction of travel (DoT).

Area	Measure	Forecast Year End Position (Aug)	Forecast Year End Position (Sep)	Current Status	DoT (up is improving)
Revenue Budget	Variance (£m)	-£0.0m	-£1.0m	Green	
Basket Key Performance Indicators	Number at target (%)	53% (9 of 17)	53% (9 of 17)[1]	Amber	
Capital Programme	Variance (£m)	-£36.9m	-£39.5m	Amber	
Balance Sheet Health	Net borrowing activity (£m)	£419m	£417m	Green	

[1]The number of performance indicators on target reflects the current position.

2.2 The key issues included in the summary analysis are:

- The overall revenue budget position is showing a forecast year end underspend of £1.025m (-0.3%), which is anincrease of £982ksince last month. The majority of this increase relates to further savings identified within CS Financing (£640k) and a reduction in CFA's forecast pressure (£418k). See section 3 for details.

- Key Performance Indicators; the corporate performance indicator set has been refreshed for 2015/16. Some of the measures within this new set are still being developed and should be available in the coming months. There are 21 indicators in the Council's new basket, with data currently being available for 17 of these. Of these 17 indicators, 9 are on target.See section 5 for details.

- The Capital Programme is showing a forecast year end underspend of £39.5m (-19.0%), which is an increase of £2.6m since last month. The majority ofthe increase is due to further slippage within CFA'scapital programme.Seesection 6 for details.

- Balance Sheet Health; The original forecast net borrowing position for 31st March 2016, as set out in the Treasury Management Strategy Statement (TMSS) is £453m. This projection has now fallen to £417m, down by £2m from last month. This is largely as a result of changes in the net expenditure profile of the capital programme and changes in expected cash flows since the Business Plan was produced in February 2015. See section 7 for details.

3. REVENUE BUDGET

3.1 A more detailed analysis of financial performance is included below:

Key to abbreviations

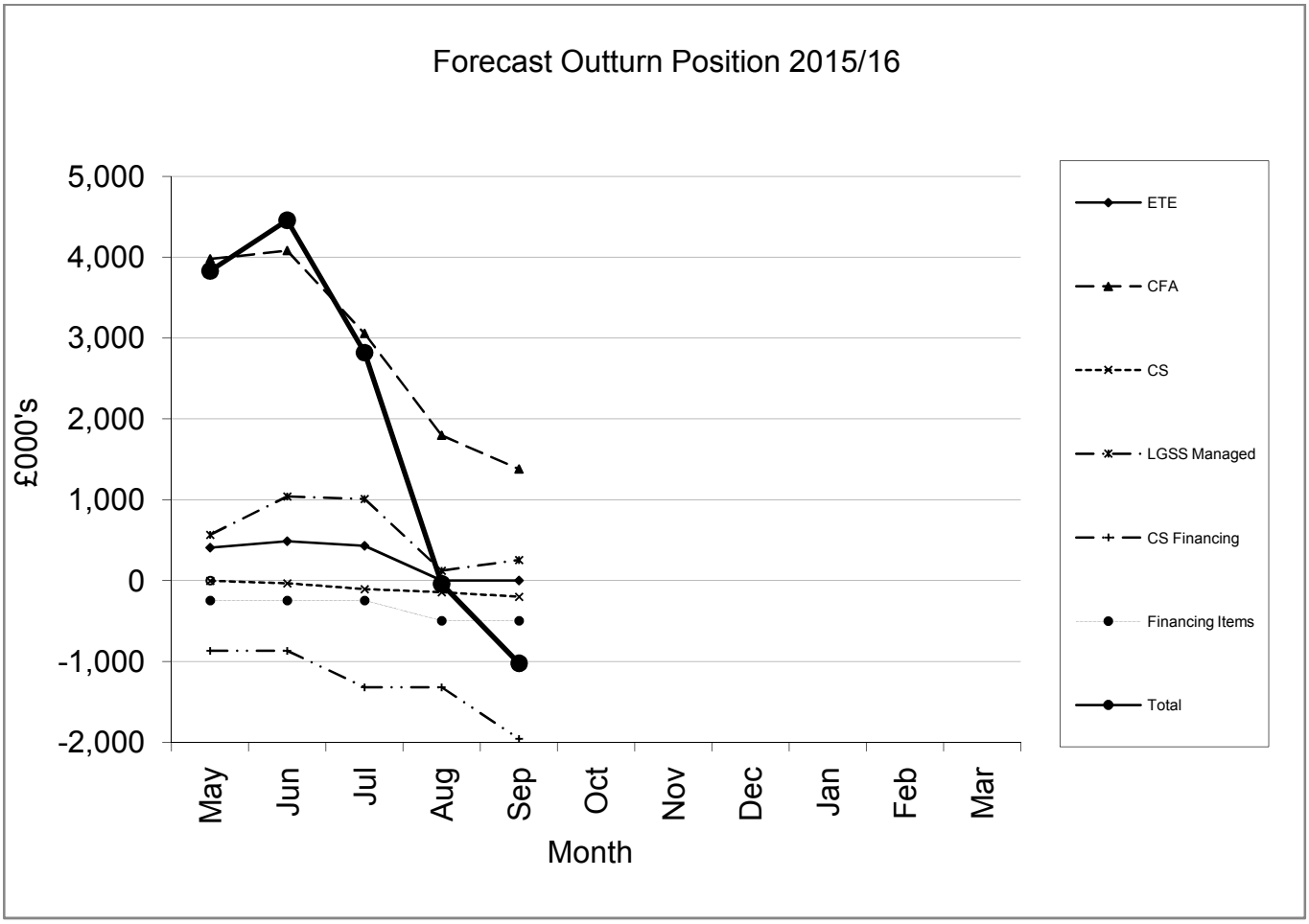
ETE –Economy, Transport and Environment
CFA – Children, Families and Adults
CS Financing – Corporate Services Financing
DoT – Direction of Travel (up arrow means the position has improved since last month)

Original Budget as per BP [1] £000	Service	Current Budget for 2015/16 £000	Forecast Variance - Outturn (Aug) £000	Forecast Variance - Outturn (Sep) £000	Forecast Variance - Outturn (Sep) %	Current Status	DoT
63,308	ETE[2]	63,079	0	0	0.0%	Green	↔
244,270	CFA	244,539	1,795	1,377	0.6%	Amber	↑
0	Public Health	0	0	0	0.0%	Green	↔
5,672	Corporate Services	6,166	-145	-201	-3.3%	Green	↑
9,145	LGSS Managed	10,471	123	255	2.4%	Amber	↓
35,460	CS Financing	35,460	-1,320	-1,960	-5.5%	Green	↑
357,855	**Service Net Spending**	**359,715**	**453**	**-529**	**-0.1%**	**Green**	**↑**
2,165	Financing Items	283	-496	-496	-175%	Green	↔
360,020	**Net Spending**	**359,998[3]**	**-43**	**-1,025**	**-0.3%**	**Green**	**↑**
	Memorandum Items:						
9,864	LGSS Operational	9,887	0	0	0.0%	Green	↔
369,884	**Total Net Spending 2015/16**	**369,884**					

[1] The budget figures in this table are net, with the 'Original Budget as per BP' representing the Net Budget column in Table 1 of the Business Plan for each respective Service.

[2] ETE includes Winter Maintenance and the Waste PFI Contract, where specific arrangements for under / overspends exist. Excluding these the underlying forecast outturn position for ETE is a £182k underspend.

[3] For budget virements between Services throughout the year, please see Appendix 1.



3.2 Key exceptions this month are identified below.

3.2.1 **Economy, Transport and Environment:**a balanced budget is forecast at year end.

- There are no exceptions to report this month.
- Previously reported exceptions that are still applicable can be found in appendix 2

3.2.2 **Children, Families and Adults:** £1.377m (0.6%) overspend is forecast at year end.

	£m	**%**
• **Adult Social Care (ASC) Directorate** – this directorate is reporting a forecast underspend of £1.0m, which is an increase of £777k from last month. The increase is due to:		
• **Learning Disability Services** – the forecast overspend has reduced by £0.4m this month, largely as a result of improved commitment record accuracy, particularly in relation to block contract arrangements.	+2.135	(4%)

At the end of September the ongoing pressure from known commitments reduced from £3.6m to £3.0m. These commitments include full year impact of people requiring new or increased services in 2015/16 and young people who will

turn 18 during this financial year.

Savings planned for the remainder of the year through increased use of assistive technology, reviewing expenditure on leisure activities, shared accommodation services and implementing the transport policy is expected to total £300k. This gives a forecast outturn of £2.7m. Of this, £2.1m relates to the County Council after the pooled budget risk share with the NHS is taken into account.

- **Carers Services** – this is mainly the result of allocations to individual carers being lower than expected. Revised arrangements for carers support were implemented from 1 April, following the Care Act, and it is taking longer than expected for the additional anticipated demand to reach expected levels. -0.380 (-18%)

  This area will continue to be monitored closely as the new arrangements embed further.

- **Strategic Management** – the forecast underspend has reduced by £0.5m this month, which is mainly due to the anticipated underspend on Deprivation of Liberty Safeguards now being fully reported against ASC Practice & Safeguarding (see note below), rather than being partially shown against Strategic Management, as it emerged in earlier months. -1.628 (-43%)

  As previously reported:

  During July, the government announced a 4-year delay in implementing the Care Act funding reforms. This means that the assessment of people funding their own care, who would have begun to accrue spending against the care cap from April, will not now need to begin this financial year, technical preparations for care accounts can take place over a longer timeframe, and provision is no longer needed to meet additional costs next year. The Council had taken a cautious approach to making spending commitments in these areas pending further announcements and will be able to avoid expenditure totalling £1.7m. The assumption has been made that the additional funding already announced by government will continue to be received by the Council this financial year. There has been national recognition that the social care system is under significant strain as part of the announcement and the funding will instead be used to offset significant demand pressures for existing social care services, particularly in the Learning Disability Partnership. However, there is now greater uncertainty about the extent to which this part of the Care Act funding will continue in future years.

This underspend is partially offset by a small pressure on the vacancy savings budget.

- **ASC Practice & Safeguarding** – an underspend of £0.7m is anticipated on the Mental Capacity Act (MCA) / Deprivation of Liberty Safeguarding (DOLS) budget due to shortage of available assessors. There has been a delay in being able to secure appropriate staff to manage the increased demand for processing MCA / DOLS cases, as all local authorities seek to respond to changes in case law and recruit from a limited pool of best interest assessors and other suitable practitioners. -0.675 (-31%)

  Previously this underspend was shown under Strategic Management, but is now reported in the Service overseeing this area of work.

  As previously reported:

- **Physical Disability Services** – a forecast underspend of £0.5m is being reported, which is anincrease of £15k from last month. In the main the underspend is due to contract funding no longer required under the Head of Service budget and expected clawback on direct payments paid to people with a Physical Disability. Service demand across all Disability Services is being managed through short term planning, increasing people's independence and use of community resources. -0.467 (-4%)

- **Older People & Adult Mental Health Directorate** – this directorate is reporting a forecast underspend of £2.5m, which is an increase of £656k from last month. This increase reflects where efficiencies have been achieved on contracts pending future budget reductions, the one-off impact of revised management arrangements (reablement worker salary arrears) or new service provision (prisons social care).

  - **Director of Older People & Adult Mental Health Services** – the following underspends were identified and reported at the September General Purposes Committee: -1.360 (-16%)

    - services to respond to new responsibilities for social care needs for prisoners are still being established with the likely underspend this year being £240k;
    - a budget of £330k for delayed transfers of care reimbursement is not required following implementation of the Care Act; and
    - release of an accrual made in the last financial year's accounts for a £300k potential dispute on costs of nursing care. We now believe this will be resolved without making use of this provision.

The forecast underspend has increased further since last month as a result of reductions already realised on housing related support (£300k - a permanent reduction will be made through the Business Planning process), as well as the one off impact of a deferred payment debt nearing collection and fully accounted for (£150k), as well as the net combination of more minor reductions (£40k).

- **Reablement, Occupational Therapy & Assistive Technology** – the forecast underspends are the result of: -0.358 (-4%)

  - capitalisation of Assistive Technology spend, which generates £125k revenue saving;
  - release of a £118k accrual made in last financial year's accounts for potential accommodation and administrative costs. Negotiations have progressed and we now judge that this provision is unlikely to be required;
  - a one-off delay in salary costs of £71k. Some salary costs such as enhancement and extra hours are paid a month in arrears. Payments for these in April were made by the NHS as they related to March 15 and were therefore prior to the Reablement service being transferred to County Council management. Only 11 months of costs will be incurred by the Council this year. As this is a specific variation, it is excluded from vacancy savings calculations; and
  - reduction in the overheads related to Occupational Therapy, as this service moved to a new NHS provider this year (£44k).

  As previously reported:

- **Integrated Community Equipment Service** – the forecast underspend has remained the same this month, and largely arises from the intention to charge an additional £400k of equipment spend to the capital budget. Demand for this service is strong, and the revenue forecast is being closely monitored. -0.473 (-59%)

- **Strategy & Commissioning Directorate** – this directorate is reporting a forecast overspend of £2.598m.

  - **Strategic Management - Strategy & Commissioning** – within the additional savings identified at the September General Purposes Committee meeting there is an expectation for the following: -0.252 (293%)

    - Reduction of £227k in earmarked Building Schools for the Future reserve to reflects anticipated demand levels; and
    - Saving on Special Educational Needs and Disability (SEND)

delivery grant funding of £25k.

- **Looked After Children (LAC) Placements** – there is a £1.5m forecast overspend, which is an increase of £0.4m from last month, resulting from a continued unprecedented growth in the LAC population which has exacerbated the pressure carried forward from 2014/15. +1.500 (9%)

  As previously reported:

- **Home to School Transport - Special** –the forecast overspend remains the same this month, and is due to a residual pressure from 2014/15. +0.625 (9%)

- **LAC Transport** – the forecast overspend remains the same this month, and is a result of an increasing LAC population and a policy to, where possible, keep a young person in the same educational setting when they are taken into care or their care placements moves, provided stability. +0.575 (86%)

- Previously reported exceptions that are still applicable can be found in appendix 2

3.2.3 **Public Health:**a balanced budget is forecast at year end.

- There are no exceptions to report this month.

- Previously reported exceptions that are still applicable can be found in appendix 2

3.2.4 **Corporate Services:** £0.201m (-3.3%) underspend is forecast at year end.

- There are no exceptions to report this month.

3.2.5 **LGSS Managed:**£0.255m (2.4%) overspend is forecast at year end.

- There are no exceptions to report this month.

- Previously reported exceptions that are still applicable can be found in appendix 2

3.2.6 **CS Financing:**£1.960m (-5.5%) underspend is forecast at year end.

	£m	**%**
• **Debt Charges** – the forecast underspend has increased by £640k this month, as a result of a decision of to defer long term borrowing until later this year and undertake short term borrowing where necessary or appropriate.	-1.960	(-6%)

As previously reported:

The Council is continually reviewing options as to the timing of any potential borrowing and also the alternative approaches around

further utilising cash balances, where possible, and undertaking shorter term borrowing which could potentially generate savings subject to an assessment of the interest rate risks involved. A favourable variance for interest payable has been included on the assumption that the Council will experience significant slippage in the capital programme, as it has done in past years, so that borrowing is deferred until next year. There is also a small positive variance for interest that is recharged internally.

The capital programme continues to be monitored closely alongside forecasts for cash balances and interest rates and a pragmatic approach to borrowing is adopted.

3.2.7 **Financing Items:**£0.496m (-175%) underspend is forecast at year end.

- There are no exceptions to report this month.
- Previously reported exceptions that are still applicable can be found in appendix 2

3.2.8 **LGSS Operational:**a balanced budgetis forecast at year end.

- There are no exceptions to report this month.

Note:*exceptionsrelate to Forecast Outturns that are considered to be in excess of +/- £250k.*

4. KEY ACTIVITY DATA

4.1 The Actual Weekly Costs for all clients shown in section 4.2 are calculated based on all clients who have received a service, are receiving a service, or we plan will receive a service. Some clients will have ceased receiving a service in previous months, or during this month, or we will have assumed an end date in the future.

4.2 Looked After Children (LAC): September 2015

	BUDGET				ACTUAL (September)				VARIANCE		
Service Type	*No of placements Budgeted*	*Annual Budget*	*No. of weeks funded*	*Average weekly cost per head*	*Snapshot of No. of placements Sep 15*	*Yearly Average*	*Projected Spend*	*Average weekly cost per head*	*Yearly Average budgeted no. of placements*	*Net Variance to Budget*	*Average weekly cost*
Residential - disability	2	£381k	52	3,663.30	3	2.52	£241k	2,152.13	0.52	-£140k	-1,511.17
Residential schools	8	£828k	52	1,990.93	11	9.96	£1,024k	1,970.34	1.96	£195k	-20.59
Residential homes	16	£2,342k	52	2,814.92	27	27.38	£3,964k	2,857.51	11.38	£1,622k	42.59
Independent Fostering	261	£9,813k	52	723.03	235	240.94	£9,675k	782.47	-20.06	-£138k	59.44
Supported Accommodation	15	£1,170k	52	1,500.00	27	21.4	£1,184k	1,134.54	6.4	£14k	-365.46
16+	9	£203k	52	433.58	10	10.97	£205k	353.93	1.97	£2k	-79.65
Growth/Replacement	-	£k	-	-	-	-	£310k	-	-	£310k	-
Savings requirement	-	£k	-	-	-	-	-£366k	-	-	-£366k	-
TOTAL	311	£14,737k			313	313.17	£16,237k		2.17	£1,500K	
In-house fostering	140	£3,472k	55	185.55	122	144.02	£3,333k	180.06	4.02	-£139k	-5.50
Kinship	26	£733k	55	185.55	31	23.01	£650k	193.21	-2.99	-£83k	7.66
In-house residential	16	£1,588k	52	1,908.52	10	11.24	£1,588k	3,053.63	-4.76	£k	1,145.11
Concurrent Adoption	3	£50k	52	350.00	9	8.33	£151k	350.00	5.33	£101k	0.00
Growth/Replacement	-	£k	-	-	-	-	£120k	-	-	£120k	-
TOTAL	185	£5,843k			172	186.6	£5,843k		1.6	£0k	
Adoption	289	£2,442k	52	162.50	334	328.44	£2,967k	166.64	39.44	£525k	4.13
TOTAL	289	£2,442k			334	328.44	£2,967k		39.44	£525k	
OVERALL TOTAL	785	£23,022k			819	828.21	£25,047k		43.21	£2,025k	

Note: Adoption includes Special Guardianship and Residency Orders. Any unutilised growth/replacement in-house will be used to support growth externally.

In the following key activity data for Adults and Older People's Services, the information given in each column is as follows:

- Budgeted number of clients: this is the number of full-time equivalent (52 weeks) service users anticipated at budget setting, given budget available.
- Budgeted average unit cost: this is the planned unit cost per service user per week, given the budget available.
- Actual service users and cost: these figures are derived from a snapshot of the commitment record at the end of the month and reflect current numbers of service users and current average cost.

4.3 Adult Social Care (ASC): September2015

		BUDGET			ACTUAL (September)			VARIANCE
Service Type		*Budgeted No. of Clients 2015/16*	*Budgeted Average Unit Cost (per week)*	*Annual Budget*	*Snapshot of No. of Clients at End of Sept 15*	*Current Average Unit Cost (per week)*	*Projected Spend*	*Net Variance to Budget*
Physical Disability Services	Residential	40	£969	£2,015k	39	£1,071	£2,133k	£118k
	Nursing	23	£926	£1,107k	26	£833	£1,136k	£29k
	Community	835	£236	£10,788k	747	£274	£10,604k	-£184k
Physical Disability Services Total		**898**		**£13,910k**	**812**		**£13,873k**	**-£37k**
Income variance								**-£223k**
Further savings assumed within forecast								**-£140k**
Learning Disability Services	Residential	294	£1,253	£19,161k	300	£1,357	£21,220k	£2,059k
	Nursing	17	£1,437	£1,270k	18	£1,434	£1,345k	£75k
	Community	1,272	£543	£35,907k	1,234	£586	£37,681k	£1,774k
Learning Disability Service Total		**1,583**		**£56,338k**	**1,552**		**£60,247k**	**£3,909k**
Further savings assumed within forecast								**-£300k**

The Learning Disability Partnership is in the process of loading care packages for automatic payment and commitment recording through the Council's Adult Finance Module (AFM) system.
Until this has been fully completed, activity analysis is based on more restricted details about package volume (hours/nights) and length, than is available through AFM.
In the table above, the assumption has been made that packages that are currently open last 365 days, as a proxy for full year activity, rather than full reflection of closed and part-year packages.

The forecasts presented in this report reflect the impact of savings measures to take effect later in the year. The further savings within forecast lines within these tables reflect the distance from this position based on current activity levels.

4.4 Adult Mental Health: September 2015

		BUDGET			ACTUAL (September)			VARIANCE
Service Type		*Budgeted No. of Clients 2015/16*	*Budgeted Average Unit Cost (per week)*	*Annual Budget*	*Snapshot of No. of Clients at End of Sept 15*	*Current Average Unit Cost (per week)*	*Projected Spend*	*Variance*
Adult Mental Health	Community based support	67	£76	£265k	97	£99	£565k	£300k
	Home & Community support	196	£87	£886k	187	£79	£767k	-£118k
	Nursing Placement	13	£682	£461k	16	£648	£510k	£49k
	Residential Placement	71	£732	£2,704k	70	£774	£2,596k	-£108k
	Supported Accomodation	137	£81	£579k	142	£86	£615k	£37k
Adult Mental Health Total		**484**		**£4,894k**	**512**		**£5,053k**	**£160k**
Further savings assumed within forecast								**-£321k**

4.5 Older People (OP): September 2015

OP Total	BUDGET			Projected to the end of the year			Variance From Budget
Service Type	***Expected No. of clients 2015/16***	***Budgeted Average Cost (per week)***	***Gross Annual Budget***	***Service Users***	***Current Average Cost (per week)***	***Gross Projected spend***	***Gross Projected spend***
Residential	531	£458	£12,641k	552	£433	£12,723k	£82k
Residential Dementia	320	£523	£8,707k	330	£501	£8,764k	£57k
Nursing	319	£609	£10,103k	326	£584	£10,053k	-£50k
Respite	289	£497	£861k	326	£501	£930k	£69k
Community based							
~ Direct payments	356	£209	£3,862k	296	£247	£4,025k	£163k
~ Day Care	326	£106	£1,793k	431	£130	£2,136k	£343k
~ Other Care			£5,478k			£6,026k	£548k
		per hour			*per hour*		
~ Homecare arranged	1,807	£16.48	£18,587k	1,841	£15.61	£17,935k	-£652k
Total	3,948		£62,032k	4,102		£62,592k	£560k
Further Savings Assumed Within Forecast							-£560k

4.6 Older People Mental Health (OPMH): September 2015

OP Mental Health	BUDGET			Projected to the end of the year			Variance From Budget
Service Type	***Budgeted No. of clients 2014/15***	***Budgeted Average Cost (per week)***	***Gross Annual Budget***	***Service Users***	***Current Average Cost (per week)***	***Gross Projected spend***	***Gross Projected spend***
Residential	14	£456	£332k	37	£584	£373k	£41k
Residential Dementia	37	£530	£1,020k	25	£487	£1,147k	£127k
Nursing	36	£627	£1,173k	30	£745	£1,186k	£13k
Nursing Dementia	156	£682	£5,534k	159	£676	£5,594k	£60k
Respite	16	£400	£38k	8	£583	£38k	£0k
Community based:							
~ Direct payments	16	£272	£226k	19	£226	£225k	-£1k
~ Other Care			£53k			£43k	-£10k
		per hour			*per hour*		
~ Homecare arranged	92	£16.08	£615k	95	£14.75	£583k	-£32k
Total	367		£8,991k	373		£9,189k	£198k
Further Savings Assumed Within Forecast							-£198k

For both Older People's (OP) Services and Older People Mental Health (OPMH):

- Respite, Day Care and Other Care have been added increasing the budget from previous reports.
- Respite care budget based on clients receiving 6 weeks care per year instead of 52.
- Residential, Residential Dementia, Nursing and Nursing Dementia budgets have been increased due to funding for Deferred Property Payments.
- Day Care OP Block places are used by OPMH clients, therefore there is no day care activity in OPMH.

Across Adults Services there are also budget revisions as a result of the transfer of function for the independent living fund.

We are continuing to develop this data to encompass an increasing proportion of the service's expenditure; this means comparisons are not currently possible with previous months.

Although this activity data shows current expected and actual payments made through direct payments, this in no way precludes increasing numbers of clients from converting arranged provisions into a direct payment.

5. PERFORMANCE TARGETS

Corporate Priority	Indicator	Service	What is good?	Date	Unit	Actual	Target	Status (Green, Amber, or Red)	Direction of Travel (up is good, down is bad)
Developing our economy	Percentage of Cambridgeshire residents aged 16 - 64 in employment	ETE	High	31/03/15	%	80.1	77.5 (2014/15 target)	Green	↑
	Additional jobs created	ETE	High	30/09/14	Number	14,000	3,500 (2015/16 target)	N/A	↑
	'Out of work' benefits claimants – narrowing the gap between the most deprived areas (top 10%) and others	ETE	Low	28/02/15	%	Top 10% = 12% Others = 5.3%	Most deprived ≤12 *	Green	↔
	The proportion of children in year 12 taking up a place in learning	CFA	High	31/08/15	%	91.9	96.0	Amber	↓
	Percentage of 16-19 year olds not in education, employment or training (NEET)	CFA	Low	31/08/15	%	3.6	3.6	Green	↔
	The proportion pupils attending Cambridgeshire Primary schools judged good or outstanding by Ofsted	CFA	High	31/08/15	%	75.2	75	Green	↓
	The proportion pupils attending Cambridgeshire Secondary schools judged good or outstanding by Ofsted	CFA	High	31/08/15	%	44	75	Red	↔
	The proportion pupils attending Cambridgeshire Special schools judged good or outstanding by Ofsted	CFA	High	31/08/15	%	86.6	75	Green	↔
Helping people live independent and healthy lives	Percentage of closed Family Worker cases demonstrating progression	CFA	High	31/08/15	%	73.8	80	Amber	↓

Corporate Priority	Indicator	Service	What is good?	Date	Unit	Actual	Target	Status (Green, Amber, or Red)	Direction of Travel (up is good, down is bad)
	The proportion of older people (65 and over) who were still at home 91 days after discharge from hospital into re-ablement / rehabilitation services	CFA	High	2014/15	%	69.8	TBC – *new definition for 15/16*	TBC	TBC
	The proportion of Adult Social Care and Older People's Service users requiring no further service at end of re-ablement phase	CFA	High	31/08/15	%	55.8	57	Amber	
	Reduced proportion of Delayed Transfers of care from hospital, per 100,000 of population (aged 18+)	CFA	Low	31/07/15	Number	513	406.3 per month (4,874.5 per year)	Red	
	Number of ASC attributable bed-day delays per 100,000 population (aged 18+)	CFA	Low	31/07/15	Number	120	94	Red	
	Healthy life expectancy at birth (males)	Public Health	High	2011-2013	Years	66.4	TBC *(new indicator)*	Green *(compared with England – local value to be assessed at year end)*	 *(compared with previous year)*
	Healthy life expectancy at birth (females)	Public Health	High	2011-2013	Years	65.5	TBC *(new indicator)*	Amber *(compared with England – local value to be assessed at year end)*	 *(compared with previous year)*

Corporate Priority	Indicator	Service	What is good?	Date	Unit	Actual	Target	Status (Green, Amber, or Red)	Direction of Travel (up is good, down is bad)
	Gap in life expectancy between the most deprived 20% of Cambridgeshire's population and the least deprived 80% (all persons)	Public Health	Low	2012-2014	Years	2.5	TBC	TBC	TBC
Supporting and protecting vulnerable people	The number of looked after children per 10,000 children	CFA	Low	31/08/15	Rate per 10,000	42.8	32.8 to 38.5	Red	⬌
	New indicator in development – strategic indicator for ASC/OP measuring whether fewer people are slipping into crisis	CFA	TBC	TBC	TBC	TBC	TBC	TBC	TBC
	The proportion of support plans created through the common assessment framework (CAF) that were successful	CFA	High	31/08/15	%	79.7	80	Green	⬇
An efficient and effective organisation	The percentage of all transformed transaction types to be completed online	CCC	High	01/07/15 to 30/09/15	%	71.25	75	Green	⬇
	The average number of days lost to sickness per full-time equivalent staff member	CCC	Low	30/09/15	Days (12 month rolling average)	6.64	7.8	Green	⬇

** 'Out of work' benefits claimants - narrowing the gap between the most deprived areas (top 10) and others – the target of ≤12% is for the most deprived areas (top 10%). At 6.7 percentage points the gap is the same as last quarter, but is narrower than the baseline (in May 2014) of 7.2 percentage points.*

5.2 Key exceptions are identified below.

- **The proportion pupils attending Cambridgeshire Secondary schools judged good or outstanding by Ofsted**

  The proportion of pupils attending Cambridgeshire Secondary schools judged good or outstanding by Ofsted has been adversely affected by a number of the county's largest secondary academies slipping from 'good' to 'requires improvement'. Only 15 out of 32 Secondary schools with Inspection results are judged as good or outstanding, covering 14,550 pupils. This is 44% of pupils against the target of 75%.

- **Delayed transfers of Care: Better Care Fund (BCF) Average number of bed-day delays, per 100,000 of population per month (aged 18+)**

  The Cambridgeshire health and social care system is experiencing a monthly average of 2,639 bed-day delays, which is 26% above the current BCF target ceiling of 2,088. In July there were 2,739 bed-day delays, up139 from the previous month, 651 above the monthly target.

  Between August '14 and July '15 there were 35,097 bed day delays across the whole of the Cambridgeshire system - representing a 31% increase against the preceding 12 months. This situation is well documented in the media with several of our local hospital trusts having to close their A & E departments due to insufficient capacity. Many of the patients are elderly who on average have longer lengths of stay in hospital, which in turns impacts on the hospitals ability to ensure sufficient throughput. Daily conference calls are held between CCC and the hospitals to identify patients who can be discharged safely and quickly.

  Across this period NHS bed-day delays have increased by 57% from 15,998 (August'13 - July'14) to 25,056 (August'14 - July'15), while bed-day delays attributed to Adult Social Care have decreased from 9,626 (August'13 - July'14) to 8,103 (August'14 - July'15), an improvement of 15%.

- **Delayed transfers of Care: Average number of ASC attributable bed-day delays per 100,000 population per month (aged 18+)**

  Between April - July '15 there were 1,701 bed-day delays recorded attributable to Adult Social Care (ASC) in Cambridgeshire. This translates into a rate of 110.3 delays per 100,000 of 18+ population. For the same period the national rate was 97.2 delays per 100,000.

  The numbers have increased due to a number of factors, one of which is the increased number ofadmissions within the Acute Trusts particularly for the over 85s who tend to require longer more complex care on discharge. In addition, there have been some challenges around the availability of domiciliary care provision particularly in hard to reach areas of the county. In addressing these issues, we are in regular contact with providers and are actively working with them to increase their staffing capacity.

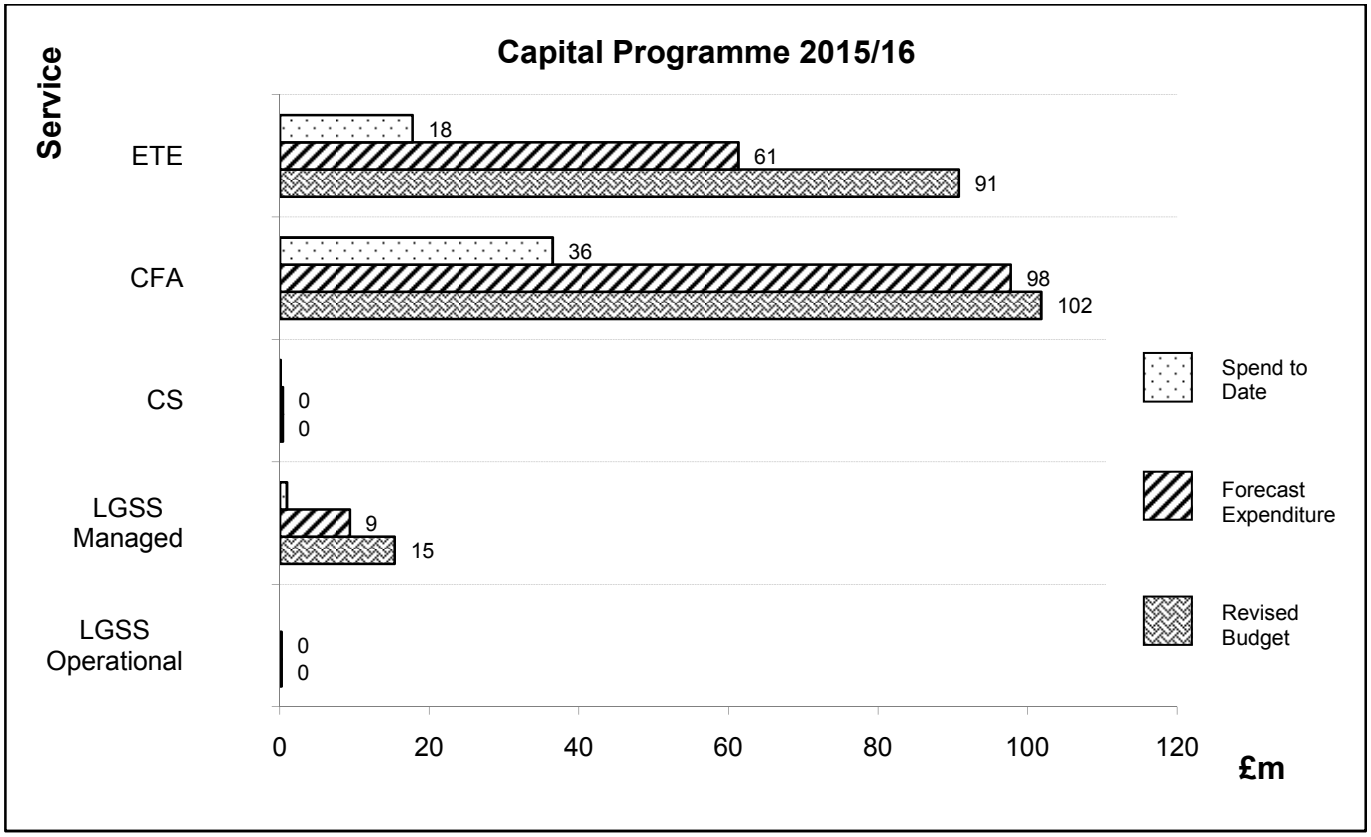
- **Number of Looked After Children (LAC) per 10,000 population under 18**

  The number of LAC has remainedat 563 during August 15. The current target has been set with an upper limit equating to 500 LAC by April 2016. The newly established Alternative to Care Service alongside robust care planning and delivery of good exit plans from care will be needed to meet this ambitious target by the end of this year. Over the next few months we expect to see an increase in the number of Adoption and Orders and Special Guardianship Orders, leading to an increase in children leaving care. Social Worker capacity is being increased to enable Unaccompanied Asylum Seekers (UASC) age assessments to be completed more quickly so that those who are assessed as being over 18 will be out of care more quickly.

6. CAPITAL PROGRAMME

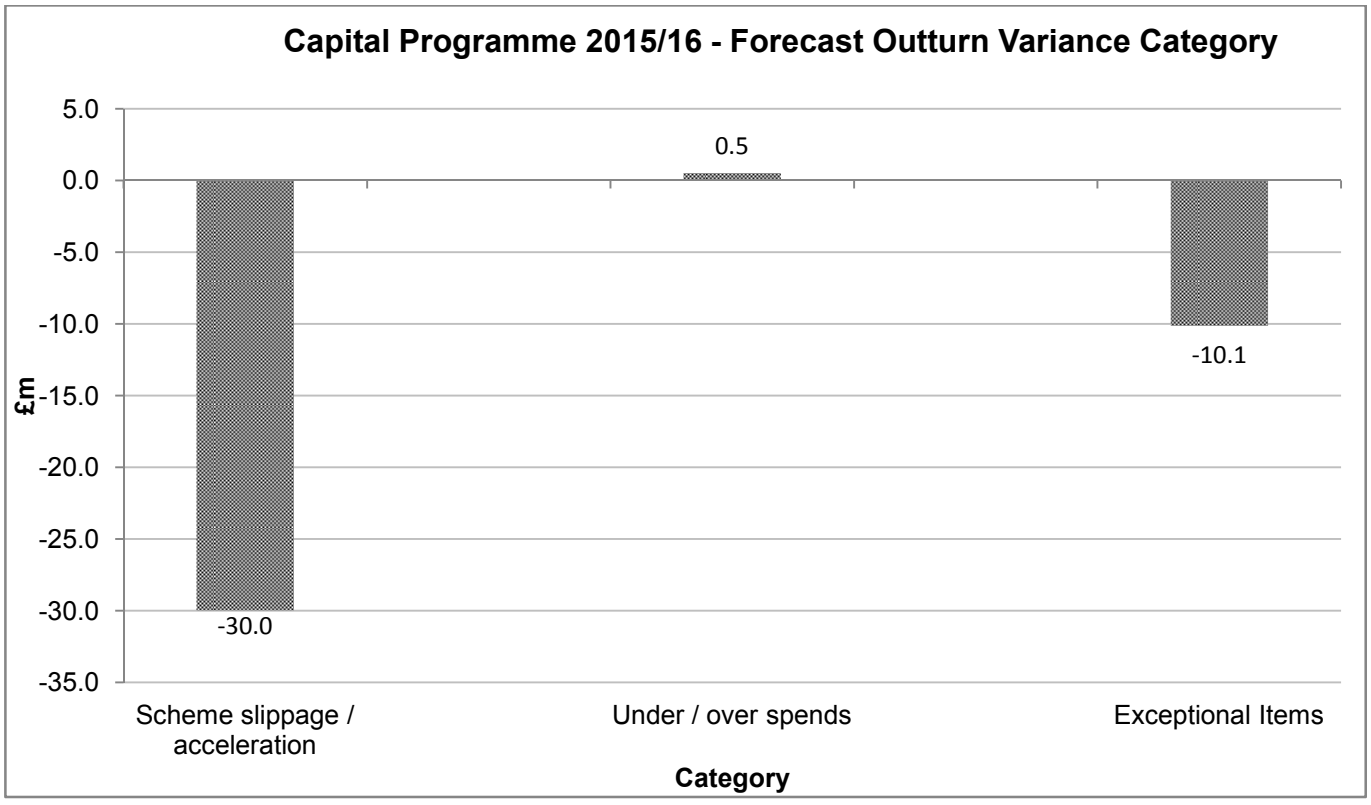
6.1 A summary of capital financial performance by service is shown below:

2015/16						TOTAL SCHEME	
Original 2015/16 Budget as per BP £000	**Service**	**Revised Budget for 2015/16 £000**	**Forecast Variance - Outturn (Aug) £000**	**Forecast Variance - Outturn (Sep) £000**	**Forecast Variance - Outturn (Sep) %**	**Total Scheme Revised Budget (Sep) £000**	**Total Scheme Forecast Variance (Sep) £000**
102,192	ETE	90,781	-29,549	-29,471	-32.5%	521,253	0
104,854	CFA	101,804	-1,499	-4,073	-4.0%	568,938	-5,207
300	Corporate Services	386	0	0	0.0%	640	0
11,385	LGSS Managed	15,331	-5,869	-5,984	-39.0%	81,452	-6,752
-	LGSS Operational	209	0	0	0.0%	600	0
218,731	**Total Spending**	**208,511**	**-36,917**	**-39,528**	**-19.0%**	**1,172,883**	**-11,959**



Note: The 'Revised Budget' incorporates any changes in the funding available to what was originally budgeted.

The following graph provides an indication of the cause for the 2015/16 capital forecast outturn variance:



Note: The 'Exceptional Items' category could include, for example, post Business Plan (BP) amendments.

6.2 A more detailed analysis of current year key exceptions this month by programme for individual schemes of £0.5m or greater are identified below.

6.2.1 **Economy, Transport and Environment:**£29.5m (-32.5%) underspend is forecast at year end.

	£m	%
• **Operating the Network** – savings and a reduction to the scope, where possible, of schemes within the current Transport Development Plan (TDP) are forecast, in order to offset the increased costs relating to the Brasley Bridge in Grantchester (£437k).	-0.5	(-3%)

- Previously reported exceptions that are still applicable can be found in appendix 3

6.2.2 **Children, Families and Adults:**£4.1m (-4.0%) underspend is forecast at year end.

	£m	%
• **Primary Schools - Demographic Pressures** – the forecast underspend has increased by £1.9m this month, as a result of further cost movements (slippage and acceleration) since the Business Plan was approved. Schemes which have been accelerated due to programme schedules being ahead of anticipated plans are: o Little Paxton (£29k); o Loves Farm (£75k); and o Grove Primary (£100k) Schemes that have encountered slippage in 2015/16 include: o Fordham (£201k) where original phasing is not being achieved as a result of the decision to undertake a review of possible alternative options to meet in-catchment need; start on site now anticipated March 2016; o Fulbourn (£118k) due to overall scheme revision which will see phase 2 works identified as a separate scheme in the 2016/17 Business Plan; o Orchard Park, Cambridge (£365k) due to anticipated timescales not being achieved. It is expected only design costs will be incurred in 2015/16; o Fourfields, Yaxley (£200k) where slippage from the original programme has occurred and the start on site is now anticipated in February 2016; o Burwell Primary (£350k) following slight revision to enabling works timetable, which has slipped by one month to February 2016; o Isle Primary, Ely (£1.0m) due to delays in establishing infrastructure required to further develop the site; o Westwood Primary expansion (£900k) where the start on site has slipped from September to December 2015 due to planning. The scheme was to proceed under delegated	-3.0	(-8%)

power, as an objection was made. The scheme is required to go to the planning Committee in October; and
    - Huntingdon Primary School (£50k)due to revised phasing from the contractor as anticipated start on site is late February / early March.

- **Adult Social Care** – the forecast underspend on Strategic Investment (£353k) has arisen as a result of rephasing expenditure that has been reflected in the 2016/17 Business Plan.

  The forecast underspend on Enhanced Frontline (£335k) is due to the prioritising of work required to enhance in-house provider services and related delivery of social care, predominantly for clients with needs from learning disabilities, mental health or old age. A further review of investment is required and expenditure has been rephased during the 2016/17 Business Planning process. -0.7 (-15%)

- Previously reported exceptions that are still applicable can be found in appendix 3

6.2.3 **Corporate Services:**a balanced budget is forecast at year end.

- There are no exceptions to report this month.

6.2.4 **LGSS Managed:**£5.9m (-39.0%) underspend is forecast at year end.

- There are no exceptions to report this month.

- Previously reported exceptions that are still applicable can be found in appendix 3

6.2.5 **LGSS Operational:**a balanced budget is forecast at year end.

- There are no exceptions to report this month.

6.3 A more detailed analysis of total scheme key exceptions this month by programme for individual schemes of £0.5m or greater are identified below:

Economy, Transport and Environment (ETE):a total scheme balanced budget is forecast.

- There are no exceptions to report this month.

Children, Families and Adults (CFA):£5.2m (-1%) total scheme underspend is forecast.

	£m	%
• **Primary Schools - New Communities** – Clay Farm, Cambridge scheme has experienced a £0.9m increase due to a slight delay in the start on site of the project(now anticipated in October 2016, not July 2016) and revised costs following more developed plans.	+0.9	(1%)

- Previously reported exceptions that are still applicable can be found in appendix 4

Corporate Services (CS): a total scheme balanced budget is forecast.

- There are no exceptions to report this month.

LGSS Managed: £6.8m (-8.3%) total scheme underspend is forecast.

- There are no exceptions to report this month.
- Previously reported exceptions that are still applicable can be found in appendix 4

LGSS Operational: a total scheme balanced budget is forecast.

- There are no exceptions to report this month.

6.4 A breakdown of the changes to funding has been identified in the table below:

Funding Source	B'ness Plan Budget £m	Rolled Forward Funding [1] £m	Revised Phasing £m	Additional/ Reduction in Funding £m	Revised Budget £m	Outturn Funding £m	Funding Variance £m
Department for Transport (DfT) Grant	38.2	4.3	-17.5	1.5	**26.5**	25.6	-0.9
Basic Need Grant	4.9	1.5	0.0	0.0	**6.4**	6.4	0.0
Capital Maintenance Grant	6.3	0.0	0.0	-1.2	**5.1**	5.1	0.0
Devolved Formula Capital	1.1	1.1	0.0	0.0	**2.2**	2.2	0.0
Specific Grants	11.5	6.1	0.0	1.8	**19.4**	12.4	-7.0
Section 106 Contributions& Community Infrastructure Levy (CIL)	35.8	-0.4	-5.8	0.0	**29.6**	24.9	-4.7
Capital Receipts	4.5	0.0	0.0	0.0	**4.5**	3.8	-0.7
Other Contributions	29.6	0.7	0.0	-20.7	**9.6**	4.3	-5.3

Prudential Borrowing	86.8	19.5	-7.1	5.9	**105.1**	84.3	-20.8
Total	**218.7**	**32.8**	**-30.4**	**-12.7**	**208.5**	**169.0**	**-39.5**

[1]Reflects the difference between the anticipated 2014/15 year end position, as incorporated within the 2015/16 Business Plan, and the actual 2014/15 year end position.

6.5 Key funding changes this month (of greater than £0.5m) are identified below:

- There are no new exceptions to report this month.

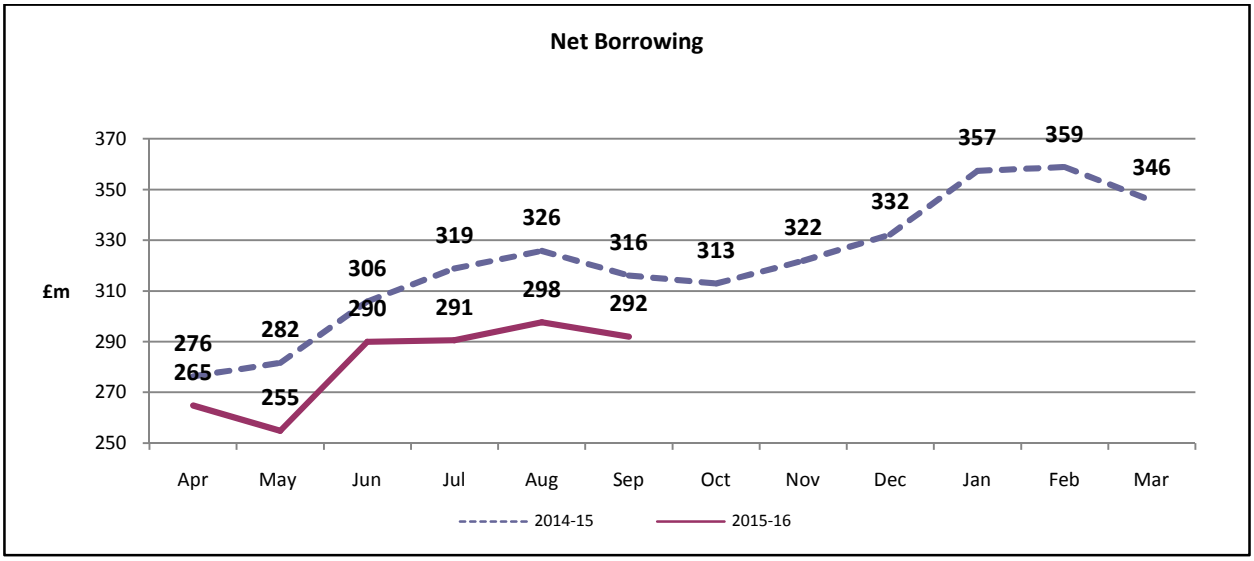
6.6 Previously reported key funding changes that are still applicable can be found in appendix 5.

7. BALANCE SHEET

7.1 A more detailed analysis of balance sheet health issues is included below:

Measure	Year End Target	Actual as at the end of September
Level of debt outstanding (owed to the council) – 4-6 months, £m	£0.4m	£0.6m
Level of debt outstanding (owed to the council) – >6 months, £m	£1.0m	£1.7m
Invoices paid by due date (or sooner)	97.5%	99.8%

7.2 The graph below shows net borrowing (borrowing less investments) on a month by month basis and compares the position with the previous financial year. The levels of investments at the end of September were £74.2mand gross borrowing was £366.1m, giving a net borrowing position of £291.9m.



7.3 The Treasury Management Strategy Statement (TMSS) sets out the plan for treasury management activities over the year. It identifies the expected levels of borrowing and investments based upon the Council's financial position and forecast capital programme. When the 2015/16 TMSS was set in February 2015, it was anticipated that net borrowing would reach £453m at the end of this financial year. This has now fallen to £417m. Net borrowing at the beginning of this year was lower than expected and the position at the 31st March 2015 was £346m.

7.4 From a strategic perspective, the Council is currently reviewing options as to the timing of any potential borrowing and also the alternative approaches around further utilising cash balances and undertaking shorter term borrowing, which could potentially generate savings subject to an assessment of the interest rate risks involved.

7.5 Although there is link between the capital programme, net borrowing and the revenue budget, the Debt Charges budget is impacted by the timing of long term borrowing decisions. These decisions are made in the context of other factors including, interest rate forecasts, forecast levels of cash reserves and the borrowing requirement for the Council over the life of the Business Plan and beyond.

7.6 The Council's cash flow profile varies considerably during the year as payrolls and payment to suppliers are made, and grants and income are received. Cash flow at the beginning of the year is typically stronger than at the end of the year as many grants are received in advance.

7.7 Key exceptions are identified below:

Key exceptions	**Impacts and actions**

Less borrowing activity than planned –original net borrowing forecast was £453m. Actual net borrowing at 30th September was £292m.	An underspend of £1.960m is forecast for Debt Charges, which is an increase of £640k from last month. The Council is continually reviewing options as to the timing of any potential borrowing and also the alternative approaches around further utilising cash balances, where possible, and undertaking short term borrowing which could potentially generate savings subject to an assessment of the interest rate risks involved. The increase in the forecast underspend this month is as a result of a decision to defer long term borrowing until later this year and undertake short term borrowing where necessary or appropriate. The capital programme continues to be monitored closely alongside forecasts for cash balances and interest rates and pragmatic approach to borrowing is adopted.

7.8 A schedule of the Council's reserves and provisions can be found in appendix 6.

8. EXTERNAL AND CONTEXTUAL ISSUES

8.1 In his speech to the Conservative Party Conference on 5th October 2015, the Chancellor of the Exchequer, George Osborne, announced plans to reform the Business Rates system, intending that all business rates should be retained locally. The mechanism for this has not been announced, but is likely to involve councils retaining all business rate growth above an established baseline. The split in rates allocation between tiers of local authorities may also be reviewed, and councils will be given authority to adopt a lower rate than that set nationally.

The Chancellor announced that the new scheme would be fiscally neutral, and would be accompanied by additional responsibilities. It is unlikely that any changes could be made until 2018/19 at the earliest. Once further details of the government's plans are announced, we will be able to estimate the likely impact on Cambridgeshire.

9. FURTHER INFORMATION

9.1 Members requiring further information on issues raised in this report may wish to access the individual Services' Finance and Performance Reports by following the link below:

http://www.cambridgeshire.gov.uk/info/20043/finance_and_budget/147/finance_and_performance_reports

10. ALIGNMENT WITH CORPORATE PRIORITIES

10.1 **Developing the local economy for the benefit of all**

There are no significant implications for this priority.

10.2 **Helping people live healthy and independent lives**

There are no significant implications for this priority.

10.3 **Supporting and protecting vulnerable people**

There are no significant implications for this priority.

11. SIGNIFICANT IMPLICATIONS

11.1 **Resource Implications**

This report provides the latest resources and performance information for the Council and so has a direct impact.

11.2 **Statutory, Risk and Legal Implications**

There are no significant implications within this category.

11.3 **Equality and Diversity Implications**

There are no significant implications within this category.

11.4 **Engagement and Consultation Implications**

No public engagement or consultation is required for the purpose of this report.

11.5 **Localism and Local Member Involvement**

There are no significant implications within this category.

11.6 **Public Health Implications**

There are no significant implications within this category.

Source Documents	**Location**
ETE Finance & Performance Report (September 15)	1st Floor,

CFA Finance & Performance Report (September 15) PH Finance & Performance Report (September 15) CS and LGSS Cambridge Office Finance & Performance Report (September 15) Performance Management Report & Corporate Scorecard (September 15) Capital Monitoring Report (September 15) Report on Debt Outstanding (September 15) Payment Performance Report (September 15)	Octagon, Shire Hall, Cambridge

APPENDIX 1 – transfers between Services throughout the year (only virements of £1k and above (total value) are shown below)

	CFA	Public Health	ETE	CS Financing	Corporate Services	LGSS Managed	LGSS Operational	Financing Items
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Opening Cash Limits as per Business Plan	244,270	0	63,308	35,460	5,672	9,145	9,864	2,165
Green Spaces budget from CS to ETE			11		-11			
Scrutiny Members Training budget to Members Allowances 15/16						15	-15	
City Deal budget from ETE to LGSS Managed			-717			717		
ETE Operational Savings – LEP subscription			50					-50
Green Spaces staff budget from CS to ETE			43		-43			
Travellers Support budget from CS to ETE			51		-51			
Allocation of Supporting Disadvantaged Children in Early Years Grant and SEND Preparation for Employment Grant to CFA	63							-63
Microsoft Support Extension - Windows 2003						33		-33
Reablement to LGSS Operational	-34						34	
Mobile Phone Centralisation	-286		-55		-3	372	-28	
Reversal of Mobile Phone Centralisation for pooled budgets in 2015/16	17					-17		
CS Operational Savings – various					602			-602
Property budget for 9 Fern Court from CFA to LGSS Mgd.	-7					7		
Allocation of Staying Put Implementation Grant to CFA (Qtr 1)	27							-27
City Deal funding 2015/16						200		-200
Transfer from CFA to Finance for Adults Accountant post	-30						30	
ETE Operational Savings – various			388					-388
Independent Living Fund (ILF) - 1st half year instalment	519							-519
Current budget	**244,539**	**0**	**63,079**	**35,460**	**6,166**	**10,471**	**9,856**	**283**
Rounding	-	-	-	-	-	*-1*	*1*	-

APPENDIX 2 – previously reported revenue exceptions that are still applicable

Service	Description	Current Forecast Variance - Outturn £m	Current Forecast Variance - Outturn %
ETE	A significant proportion (£260k) of the ETE overspend is derived from the Park & Ride site income being less than anticipated (see note below). Officers will continue to seek to increase income in this area and also review all budgets to offset this pressure. Should the current underlying overspend of £92k not reduce as year end approaches, then underspends on Community Transport in previous years set aside for future years will be reduced by £92k. This assumption has been built into the reported figures bringing the budget to break-even by year end, but tight management of other revenue budgets (staffing in particular) and potential slippage in some expenditure may also occur, meaning that from experience these planned reductions will not be required and the expenditure can be reinstated.The forecast outturn position will be monitored closely so that should this be possible as expected, the reinstatement can occur as early as possible.	-	-
	Park & Ride – a predicted shortfall in income in the region of £560k is expected for parking fees at the Park & Ride sites based on income levels achieved in the first six months of this year. This overspend will be partially covered by increased income from bus lane enforcement, which is expected to be in the region of £300k.	+0.260	(154%)

CFA	**Children's Social Care Directorate** – this directorate is reporting a forecast overspend of £1.7m, which is an increase of £100k from last month. The overspend is due to: - **Strategic Management, Children's Social Care Access and Children in Need** – a cumulative forecast overspend of £1.2m is being reported. The overspend is due to the continuing need to use agency staff, which is placing pressure on staffing budgets and making the vacancy saving target difficult to deliver. - **Head of Social Work** – a £525k overspend is forecast due to an increase in the number of adoption / special guardianship orders. The increase in Adoption / Special Guardianship / Child Arrangement orders are, however, a reflection of the good practice in making permanency plans for children outside of the looked after system. The overspend is mostly attributable to demographic pressures. Previously no demography has been allocated to reflect the rise in numbers. This pressure is now being taken forward as part of the 2016/17 Business Planning process.	+1.200 +0.525	(11%) (12%)
	Learning Directorate – this directorate is reporting a forecast overspend of £1.0m, which is mainly due to: - **Home to School/College Transport - Mainstream** – the forecast overspend has increased by £150k from last month, which is due to the movement of the £150k cross CFA transport saving, previously reported within Strategic Management – Learning. The forecast outturn position also takes account of the following, all of which came into effect on 1 September 2015: • Changes to the post-16 transport policy including the introduction of a subsidised rate for new students living in low-income households who would previously have been entitled to free transport; • Implementation of a £10 per term increase in the cost of purchasing a spare seat on a contact service and for post-16 students who do not meet low income criteria; and • Award of contracts following re-tendering. In addition, new transport arrangements will need to be put in place during the course of the new academic year as a result of families moving into and within Cambridgeshire in cases where the local schools are full. This is the main reason for the current in-year pressure. Work is being	+1.080	(12%)

	undertaken to ensure more accurate forecasts of growth are incorporated into the demographic increase within the commitment for 2016/17.		
Public Health	**Public Health Grant** – the consultation for the 2015/16 in year savings closed 28 August 2015. The Department of Health's preferred option is to reduce the allocation to all Local Authorities by a standard flat rate percentage. The effect of this option on Cambridgeshire County Council would be a reduction of £1,613k to be met through reserves and in-year savings. The Health Committee approved the savings programme and the use of up to £650k from the ringfenced public health reserve, to deliver the in-year reduction in Cambridgeshire County Council's public health grant allocation for 2015/16, subject to final confirmation of the level of public health grant reduction by the Department of Health.	-	-
CS	N/A	-	-
LGSS Managed	**County Offices** – County Offices is forecasting an overspend of £771k, which is an increase of £11k from last month. As previously reported, the 50% rental period under the agreement to lease Castle Court is due to commence on 31st October 2015, subject to planning permission being granted. Should this be forthcoming, additional income of £281k will be generated in 2015/16, and this has now been reflected in the forecast outturn position. The pressure resulting from Children's Centre business rates received to date and an assessment of the potential liability for Children's Centres where bills have not yet been received is forecast to be in the region of £616k. Of this amount, £471k is the estimated liability for prior years billing and £145k relates to the estimated annual cost for 2015/16 onwards. The position will continue to be monitored and forecast outturn updated accordingly when / if further business rates bills are received. Full-year savings have now been realised in respect of the closure of Dryden House (£203k) and the cessation of Castle Court running costs (£347k). The prior-year savings target for a reduction of the property portfolio has therefore been fully achieved and progress is being made towards the new 2015/16 target (£400k), with a balance of £379k to be identified. In addition, there are a number of small budgetary pressures across the portfolio, amounting to £100k. These have been partially offset by a £42k reduction in the anticipated cost of Dryden House dilapidations.	+0.771	(14%)

	IT Managed – to contribute towards recovery of the overall LGSS Managed overspend, the balance on the IT Asset Replacement Fund (£475k) will be written back to revenue. This is facilitated by the move towards provision of mobile devices, which are funded from the IT for Smarter Business Working capital scheme. This is partially offset by £54k net pressures across the centrally held budgets.	-0.421	(-19%)
	Transformation Fund – this covers the costs of Section 188 redundancies. Assuming a straight-line spend profile based on costs to date, an underspend of £225k is being reported.	-0.225	(-23%)
Financing Items	**Education Services Grant (ESG)** – the ESG is an unringfenced grant, which is allocated to local authorities and academies on a per-pupil basis that takes account of school type (mainstream / high needs) and status (academy / maintained). Funding will therefore reduce for local authorities if a school converts to an academy. Based on the expected number of academy conversions during 2015/16 a figure of £4,735,117 was budgeted for the ESG during the Business Planning (BP) process. Recent conversions and projections for the rest of the year indicate academy conversions at a slower rate than originally expected, resulting in an increased total ESG funding of c.£5,000,000 for 2015/16, an additional amount of c.£265,000. It is proposed that his additional income will be transferred to corporate reserves at year end, subject to General Purposes Committee (GPC) approval. However, an update to the current reported position will be provided if this projection changes.	-0.265	(-6%)

	Deprivation of Liberty Safeguards (DoLS) – on the 27 March 2015, the Minister for Care and Support announced an additional £25m would be made available to local authorities for the Deprivation of Liberty Safeguards (DoLS). This is a un-ringfenced grant that has not been budgeted for, with £247,899 being allocated to Cambridgeshire County Council in 2015/16. The activities that this funding is to be deployed for were funded within CFA's 2015/16 base budget via the Business Planning process - at the time of preparing the Business Plan the Council did not know how much grant would be received in relation to DoLS In July it wasrecommended that the additional DoLS funding of £247,899 received in 2015/16 is transferred to the General Fund at year end, to replenish the County's resources used in the first instance to fund this activity, which the General Purposes Committee approved on 15 September 2015.	-0.248	(-100%)
LGSS Operational	N/A	-	-

APPENDIX 3 – previously reported capital exceptions that are still applicable

Service	Description	Total Forecast Variance - Outturn £m	Total Forecast Variance - Outturn %
ETE	**Connecting Cambridgeshire** – this scheme has now been rephased and will continue into 2016/17 and 2017/18. The Council has additional funding and investment from BT for a further rollout phase, to be delivered between January 2016 and late summer 2017, to deliver fibre broadband to more premises across Cambridgeshire and Peterborough. The original project planned to complete by the end of December 2015 is on track and will deliver the planned coverage by the end of December 2015.	-8.2	(-42%)
	Ely Crossing – the scheme is forecast for delivery in Autumn 2017. The procurement process and land acquisition needs are underway. A small delay has been previously reported within the procurement process because of changes within the procurement regulations and limited consultancy support with preparations for detail design and contract build, but the overall targeted date of opening remains the same. A clear procurement timeline is now established for an autumn substantial delivery.	-6.9	(-70%)
	King's Dyke – this scheme has been delayed due to the preparation of the planning application taking longer than expected and, as such, costs will now be incurred in 2016/17.	-4.2	(-84%)
	Cycling Schemes – the total budgeted grant for Cycle City Ambition schemes are shown within the report. Future updates will be provided on a forecast basis as spend in year 1: 2015/16 are for early stage feasibility and options. Major Schemes such as Abbey Chesterton Bridge are profiled for completion in 2018/19 with substantial spend on Trumpington Road, A10 Harston Rd along with Foxton Link and Quy to Lode in 2016/17. The forecast has now been revised to reflect the forecast delivery timescale and to take into account early stages of design, feasibility and consultation in year one of the programme.	-2.9	(-46%)

	Huntingdon - West of Town Centre Link Road – spend is expected to be lower than budgeted this year due to the ongoing outstanding costs of land purchase. Until these land deals are completed it is too early to know if the overall scheme will be underspent.	-2.1	(-63%)
	Delivering the Transport Strategy Aims – S106 developer contributions towards cycling schemes are in the early stages of feasibility and design reducing spend requirements in year 2015/16. Scheme forecasts are indicating completion in 2016/17. Options also exist to merge priorities with City Deal Projects to maximise resources and minimise delays in programme delivery. Land acquisition, feasibility studies are underway for Bar Hill to Longstanton, Yaxley to Farcet and the link to Babraham research campus and will result in scheme delivery moving into 2016/17. Integrated Transport cycling funded schemes including, St Neots route 4 and St Neots route 7 are nearing completion and showing reduced revised estimate.	-1.7	(-36%)
	Archives Centre / Ely Hub – this scheme is to be completed over 2 years with a larger amount of the expenditure now expected to take place next financial year.	-1.2	(-39%)
	Guided Busway – the forecast variance on this scheme relates to retention payments which will not be paid this year.	-0.7	(-20%)
	Wisbech Access Strategy – this scheme is funded by Growth Deal funding over 2 years and expenditure will match this grant funding.	-0.5	(-50%)
	Waste Infrastructure – this is mainly due to an amended approach to the delivery of a replacement householder recycling facility in the Cambridge area. This budget will now be spent over a number of years.	-0.5	(-79%)
CFA	**Temporary Accommodation** – it had been anticipated at Business Planning that the current stock of mobiles would prove sufficient to meet September 2015 demand. Unfortunately, it has proved necessary to purchase additional mobiles due to rising rolls at primary schools around the county.	+1.0	(200%)
	Condition, Maintenance and Suitability – this is due to Castle and Highfield Special School projects continuing from 2014/15 due to delays on site, together with significantly higher than anticipated tender prices for kitchen ventilation works required to meet health and safety standards.	+0.7	(20%)

	Children Support Services – significant slippage (£2,323k) has occurred on the Trinity School scheme due to delays in finalising the acquisition of the property from Huntingdonshire Regional College. As a result, the start on site date has now slipped to October 2015. Further slippage (£50k) in August due to costs being reduced through value engineering.	-2.4	(-52%)
LGSS Managed	**Effective Property Asset Management (EPAM)- East Barnwell Community Hub** – Members have undertaken a review of this scheme and have decided that it should not progress in its current form. Work is underway to assess alternative options and a decision is due later in the year regarding how the scheme should progress. As a consequence, an in-year underspend of £1.8m is being reported. A feasibility study has been commissioned to reflect the mixed use scope now required and will be part of a revised scheme cost when costs have been refined.	-1.8	(-95%)
	EPAM - Sawston Community Hub – ongoing discussions with the District Council and Sawston Village College regarding siting of the compound are significantly delaying the start of construction, which is now expected to commence in early 2016.	-1.1	(-89%)
	Optimising IT for Smarter Business Working – expenditure has been rephased to reflect the priorities set by the County Council for the provision of the IT infrastructure and devices to support mobile working, and a revised timescale for implementation.	-0.9	(-39%)
	IT Infrastructure Investment – expenditure has been rephased to better reflect timescales for the delivery of upgrades / refresh of the core IT software and hardware systems that underpin the use of IT across the Council.	-0.7	(-41%)
	EPAM - MAC Market Towns Project – this scheme has been reassessed as part of the 2016/17 Business Planning process, resulting in rephasing of activity from 2015/16 to 2016/17.	-0.6	(-100%)
	EPAM - County Farms Viability – the level of funding required for this scheme has been reassessed for Business Planning and it has been determined that it can be reduced by £0.5m per year to better reflect actual activity with tenant farmers more cautious due to the unsettled global market. This will also result in a total scheme underspend (see appendix 4) and the scheme budget will be adjusted as part of the 2016/17 Business Planning process.	-0.5	(-42%)

APPENDIX 4 – previously reported total scheme capital exceptions that are still applicable

Service	Description	Total Forecast Variance - Outturn £m	Total Forecast Variance - Outturn %
CFA	**Primary Schools - Demographic Pressures** – the forecast overspend has decreased by £373k this month. The following scheme costs have changed since the Business Plan was approved in response to changes to development timescales and school capacity: • Wyton Primary - £8,750k increase. • Wisbech additional places - £4,791k increase. • Little Paxton - £2,600k increase. • Fordham Primary - £2,047k increase. • Huntingdon Primary - £300k increase. • Orchard Park - £200k increase. • Burwell - £14k increase. • Fulbourn - £896k decrease. • Fourfields, Yaxley - £150k decrease. These have been addressed through the 2016/17 Business Planning process.	+17.7	(14%)
	Condition, Maintenance and Suitability – this is due to Castle and Highfield Special School projects continuing from 2014/15 due to delays on site, together with significantly higher than anticipated tender prices for kitchen ventilation works required to meet health and safety standards. This is being addressed as part of the 2016/17 Business Planning process.	+0.7	(1%)
	Secondary Schools - Demographic Pressures – the forecast underspend has remained the same this month and is due to a change in the following scheme costs since the Business Plan was approved: • 8-10 Forms of Entry (FE) additional secondary capacity to serve March &Wisbech (-£24.6m) – the reduction in costs is due to a change in scope to provide 4-5 FE (600-750 places) additional capacity; and	-19.2	(-17%)

	• Cambourne Secondary 1 FE Expansion (+£5.4m) – the increase in costs is due to a change in scope to provide 2 FE (300 places) expansion. These have been addressed through the 2016/17 Business Planning process.		
	Secondary Schools - New Communities – the forecast underspend has remained the same this month. There has been a reduction to the Cambridge City Secondary additional capacity scheme due to a change in scope from 4 Forms of Entry (FE) to 3 FE (450 places) additional capacity. This change has been addressed through the 2016/17 Business Planning process.	-5.2	(-5%)
LGSS Managed	**Effective Property Asset Management (EPAM) - County Farms Viability** – the level of funding required for this scheme has been reassessed for Business Planning and it has been determined that it can be reduced by £0.5m per year to better reflect actual activity with tenant farmers more cautious due to the unsettled global market. This will result in a total scheme underspend of £2.4m and the scheme budget will be adjusted as part of the 2016/17 Business Planning process.	-2.4	(-48%)
	EPAM - Disposal / Relocation of Huntingdon Highways Depot – this scheme is no longer required andso a total scheme underspend is being reported. This has been superseded by a new Joint Highways Depot scheme under Making Assets Count, which is being submitted via the 2016/17 Business Planning process.	-1.6	(-100%)
	EPAM - Fenland – as reported in 2014/15, a reduction in the estimated cost of final retention payments for the Awdry House site has increased the predicted total scheme underspend to £1.1m.	-1.1	(-17%)
	Carbon Reduction – the works planned under the Carbon Reduction scheme were reviewed in 2014/15 and a new schedule was agreed. As reported in 2014/15, the agreed work plan is expected to deliver a total scheme underspend of £0.7m.	-0.7	(-39%)

APPENDIX 5 – previously reported key capital funding changes that are still applicable

Funding	Service	Amount (£m)	Reason for Change
Rolled Forward Funding	All Services	+32.8	This reflects slippage or rephasing of the 2014/15 capital programme – as reported in May 15 (£31.9m) and approved by the General Purposes Committee (GPC) on 28th July 2015, with a further £1.0m reported in July15 and approved by the GPC on 15th September.
Additional / Reduction in Funding (Other Contributions)	ETE	-20.0	Removal of Science Park Station – as reported in May 15 and approved by the GPC on 28th July 2015.
Additional / Reduction in Funding (Specific Grant)	ETE	+1.0	Growth Deal Funding relating to Wisbech Access Strategy – as reported in May 15 and approved by the GPC on 28th July 2015.
Additional / Reduction in Funding (DfT Grant)	ETE	+1.5	Cycling City Ambition grant – as reported in May 15 and approved by the GPC on 28th July 2015.
Revised Phasing (Section 106 & CIL)	ETE	-3.6	Guided Busway – as reported in July 15.
Revised Phasing (Prudential Borrowing)	ETE	+3.6	Guided Busway – as reported in July 15 and approved by the GPC on 15th September 2015.
Revised Phasing (DfT Grant)	ETE	-17.5	City Deal – as reported in July 15 and approved by the GPC on 15th September 2015.
Additional / Reduction in Funding (Capital Maintenance)	CFA	-1.2	Condition, Suitability and Maintenance funding reduction – as reported in May 15.
Additional / Reduction in Funding (Prudential Borrowing)	CFA	+1.2	Prudential Borrowing required to offset the shortfall in funding from the DfE RE: Condition, Suitability and Maintenance (note above) – as in May 15 and approved by the GPC on 28th July 2015.

Revised Phasing (Section 106)	CFA	-5.8	Rephasing (mainly North West Cambridge (NIAB) Primary) – as reported in May 15 and approved by the GPC on 28th July 2015.
Revised Phasing (Prudential Borrowing)	CFA	-7.1	Rephasing (various schemes) – as in May 15 and approved by the GPC on 28th July 2015.
Additional / Reduction in Funding (Prudential Borrowing)	CFA	+3.2	New Schemes (various) – as reported in May 15 and approved by the GPC on 28th July 2015.
Additional / Reduction in Funding (Prudential Borrowing)	CFA	+1.5	Increase in costs (various schemes) – as reported in May 15 and approved by the GPC on 28th July 2015.

APPENDIX 6– Reserves and Provisions

Fund Description	Balance at 31 March 2015	2015-16		Forecast Balance at 31 March 2016	Notes
		Movements in 2015-16	Balance at 30Sep 15		
	£000s	£000s	£000s	£000s	
General Reserves					
- County Fund Balance	16,001	25	16,026	17,882	
- Services					
1 CFA	0	0	0	-1,377	Includes Service Forecast Outturn (FO) position.
2 PH	952	0	952	0	
3 ETE	3,369	-552	2,817	0	Includes Service FO position.
4 CS	1,020	-603	417	618	Includes Service FO position.
5 LGSS Operational	1,003	0	1,003	300	Includes Service FO position.
Subtotal	22,345	-1,130	21,215	17,423	
Earmarked					
- Specific Reserves					
6 Insurance	2,578	0	2,578	2,578	
Subtotal	2,578	0	2,578	2,578	
Equipment Reserves					
7 CFA	744	159	903	660	
8 ETE	893	0	893	650	
9 CS	50	0	50	50	
10 LGSS Managed	642	0	642	167	
Subtotal	2,329	159	2,488	1,527	
Other Earmarked Funds					
11 CFA	7,533	-530	7,003	2,645	
12 PH	2,081	-61	2,020	1,300	
13 ETE	7,404	-182	7,222	4,251	Includes liquidated damages in respect of the Guided Busway.
14 CS	527	-55	472	368	
15 LGSS Managed	198	0	198	233	
16 LGSS Operational	130	0	130	0	
17 Corporate	63	-63	0	0	
Subtotal	17,936	-891	17,045	8,797	
SUB TOTAL	**45,187**	**-1,862**	**43,326**	**30,325**	
Capital Reserves					
- Services					
18 CFA	6,272	8,203	14,475	1,778	
19 ETE	15,897	31,295	47,192	25,670	
20 LGSS Managed	481	276	757	427	
21 Corporate	33,547	9,979	43,526	22,218	Section 106 balances.
SUB TOTAL	**56,197**	**49,753**	**105,950**	**50,093**	
GRAND TOTAL	**101,384**	**47,892**	**149,276**	**80,418**	

In addition to the above reserves, specific provisions have been made that set aside sums to meet both current and long term liabilities that are likely or certain to be incurred, but where the amount or timing of the payments are not known. These are:

Description	Balance at 31 March 2015	2015-16		Forecast Balance at 31 March 2016	Notes
		Movements in 2015-16	Balance at 30Sep 15		
	£000s	£000s	£000s	£000s	
Short Term Provisions					
ETE	669	0	669	0	
CS	1,043	-43	1,000	955	
LGSS Managed	3,316	0	3,316	2,335	
subtotal	5,028	-43	4,985	3,290	
Long Term Provisions					
LGSS Managed	4,718	0	4,718	4,718	
subtotal	4,718	0	4,718	4,718	
GRAND TOTAL	**9,746**	**-43**	**9,703**	**8,008**	