

Appendix 2

Internal Audit Plan 2014-15 - Progress Update

Directorate	Description	Report	Status	Date to AAC	
Customer Service and Transformation	Information Governance		Final	Sep-14	
	Business Continuity		WIP		
	Digital by Default				
Children, Families and Adults	Commissioning (incl assessments)/Contracting				
	Direct Payments				
	Care Income				
	Traded Services		WIP		
	Vulnerable Client Monies Management				
	Personal Budgets for Children		WIP		
	Adoption Service Partnership (Embedded Assurance)	Report 1		WIP	
		Report 2			
	Early Help Project (Embedded Assurance)	Report 1		Draft	
		Report 2			
Better Care Fund			WIP		
Older Peoples Service Financial Management (Embedded Assurance)	Report 1		Complete		
	Report 2				
Schools	Schools Financial Value Standard				
	Safer Recruitment in Schools				
	Schools Thematic (other)				
	Financial Governance within Schools		5 Finals, 4 Drafts 2 WIP		
	Schools Causing Financial Concern				
	Schools Becoming Academies				
Economy, Transport and Environment	Waste PFI				
	Cambridgeshire Future Transport				
	Carbon Reduction Commitment		Final	Sep-14	
	Section 106				
	City Deal		WIP		
	Highway Services Contract		Final	Sep-14	
	Superfast Broadband (Embedded Assurance)				
Public Health	Commissioning /Contracting		Final	Sep-14	
	Effectiveness of Public Health in Cambridgeshire				
	Health Checks				
	Public Health Outcomes Framework				
	Information Governance in Public Health		WIP		
Council-Wide	Delivery of 2014/15 Business Plan Savings				
	Health and Safety				
	New Governance Model				
	Programme & Project Management		WIP		
Grant Certification	SeNS (Seniors Network Support)	Claim 1	WIP		
		Claim 2			
	ECOOP	Claim 1	Complete		
		Claim 2			
	Great War	Claim 1			
		Claim 2			
	LSTF (Local Sustainable Transport Fund)		WIP		
	Flood Damaged Roads Funding				
	Better Bus Area Fund				
	Bike Friendly Cities		Complete		
Local Transport Capital Block Funding					
Troubled Families Grant Verification	Q.1.		Complete		
	Q.2.		Complete		
	Q.3.				
	Q.4.				
Governance	Annual Governance Statement/Code of Corporate Governance		Complete	Jun/Jul 14	
	Ethics		Draft		
	Assurance Framework		Ongoing		
LGSS -Finance	Budget Monitoring and Control (Revenue)		WIP		
	Capital Programme				
			WIP		

LGSS -People, Performance & Transactions	Staff Performance Management		
	Debt Recovery		
	Procure to Pay	WIP	
	Payroll		
	General Ledger		
	Cash Management		
	Accounts Receivable		
	User Access		
Pensions			
I. T. Audit			
	IT Governance		
	IT Systems Security		
	IT Resilience		
External Work			
	Cromwell Academy	1 Report 1 WIP	
Contingency	Coroners Service	Final	Sep-14
	Looked After Children & Corporate Parenting (2013/14) - CFA	Final	Jul-14
	Safe Recruitment (2013/14) - CFA	Final	Jul-14
	Delayed Discharges (2013/14) - CFA	Draft	
	Pupil Premium - CFA		
	Investing in Highways Transport Solutions (2013/14) - ETE	Final	Jul-14
	Street Lighting - Energy Management (2013/14) - ETE	Final	Sep-14
	Workforce Retention & Knowledge Management (2013/14) - LGSS PP&T	Final	Sep-14
Audit Implications of E-Recruitment	Completed	N/A	
Counter Fraud	Special Investigations	1 Completed 3 Ongoing	
	Preventative / Proactive Anti-Fraud Control Work		
	NFI		
Advice & Guidance	Follow Ups		
	Other Chargeable Work		
Strategic Risk Management			
	Corporate Risk Register Maintenance and Update /Attendance and Reports to Corporate Risk Group	Ongoing	

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