

## Appendix 2

### Internal Audit Plan 2014-15 - Progress Update

Directorate	Description	Report	Status	Date to AAC
Customer Service and Transformation	Information Governance		Final	Sep-14
	Business Continuity		WIP	
	Digital by Default			
Children, Families and Adults	Commissioning (incl assessments)/Contracting			
	Direct Payments			
	Care Income			
	Traded Services		WIP	
	Vulnerable Client Monies Management			
	Personal Budgets for Children		WIP	
	Adoption Service Partnership (Embedded Assurance)	Report 1	WIP	
		Report 2		
	Early Help Project (Embedded Assurance)	Report 1	Final	Nov-14
		Report 2		
	Better Care Fund		WIP	
Schools	Older Peoples Service Financial Management (Embedded Assurance)	Report 1	Advice Provided	
		Report 2	WIP	
	Schools Financial Value Standard			
	Safer Recruitment in Schools		1 Final	Nov-14
	Schools Thematic (other) -Purchasing and Payments		WIP	
	Financial Governance within Schools	7 Finals, 3 Drafts 1 WIP		
Economy, Transport and Environment	Schools Causing Financial Concern			
	Schools Becoming Academies			
	Waste PFI			
	Cambridgeshire Future Transport			
	Carbon Reduction Commitment		Final	Sep-14
	Section 106		WIP	
	City Deal		WIP	
Public Health	Highway Services Contract		Final	Sep-14
	Superfast Broadband (Embedded Assurance)			
	Commissioning /Contracting		Final	Sep-14
	Effectiveness of Public Health in Cambridgeshire			
	Health Checks			
	Public Health Outcomes Framework			
Council-Wide	Information Governance in Public Health		WIP	
	Public Health Grant		Draft	
	Delivery of 2014/15 Business Plan Savings		Draft	
	Health and Safety			
	New Governance Model			
Grant Certification	Programme & Project Management		WIP	
	SeNS (Seniors Network Support)	Claim 1	Complete	
		Claim 2		
	ECOOP	Claim 1	Complete	
		Claim 2		
	Great War	Claim 1		
		Claim 2		
	LSTF (Local Sustainable Transport Fund)		WIP	
	Flood Damaged Roads Funding		Complete	
	Better Bus Area Fund			
	Bike Friendly Cities	Claim 1	Complete	
		Claim 2		
	Local Transport Capital Block Funding	Claim 1	Complete	
		Claim 2	Complete	
Governance	Troubled Families Grant Verification	Q.1.	Complete	
		Q.2.	Complete	
		Q.3.	Complete	
		Q.4.		
	Annual Governance Statement/Code of Corporate Governance		Complete	Jun/Jul 14
Governance	Ethics		Final	Nov-14
	Assurance Framework			
		Report to AAC		Nov-14
	Budget Monitoring and Control (Revenue)		Final	Nov-14

LGSS -Finance	Capital Programme		
		WIP	

LGSS -People, Performance & Transactions	Staff Performance Management		
	Debt Recovery		
	Procure to Pay	Draft Briefing Note	
	Payroll		
	General Ledger		
	Cash Management		
	Accounts Receivable		
	User Access		
	Pensions		
I. T. Audit			
	IT Governance		
	IT Systems Security		
External Work			
	Cromwell Academy	1 Report 1 WIP	
Contingency	Coroners Service	Final	Sep-14
	Looked After Children & Corporate Parenting (2013/14) - CFA	Final	Jul-14
	Safe Recruitment (2013/14) - CFA	Final	Jul-14
	Delayed Discharges (2013/14) - CFA	Final	Nov-14
	Pupil Premium - CFA	WIP	
	Investing in Highways Transport Solutions (2013/14) - ETE	Final	Jul-14
	Street Lighting - Energy Management (2013/14) - ETE	Final	Sep-14
	Workforce Retention & Knowledge Management (2013/14) - LGSS PP&T	Final	Sep-14
	Audit Implications of E-Recruitment	Completed	N/A
Co unt er Fra ud	Special Investigations	1 Completed 3 Ongoing	
	Preventative / Proactive Anti-Fraud Control Work		
	NFI	Data Sets Submitted	
Advice & Guidance	Follow Ups		
Strategic Risk Management	Other Chargeable Work		
	Corporate Risk Register Maintenance and Update /Attendance and Reports to Corporate Risk Group	Ongoing	

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