

ANNEX C

Internal Audit Progress Update

National Fraud Initiative Update

1. The NFI compares different data sets provided nationally by local authorities and partner organisations. The current exercise commenced in September 2020 when data was supplied for matching purposes by all relevant parties, including CCC. The matched output was released by the NFI in January 2021. The total amount of matches for CCC is 8629 across 31 reports which have a high or medium risk rating.
2. Details of the number of matches closed, resulting outcomes and details regarding progress are provided in Table 1. Progress is dependent on priority needs and resource availability in the individual service areas but is subject to ongoing monitoring and review by the Internal Audit team. Information from customers for example Pensions is requested from next of kin of the individuals concerned which understandably takes time to write out and respond to.
3. The information relating to closed matches and outcomes is taken from the NFI portal data which is updated by services, however in cases where there are high numbers of matches these have been extracted from the portal for services to investigate. Internal Audit has contacted lead officers within these service areas for a general update on match investigations currently in progress (see service update).
4. There is no deadline for match investigation completion, NFI states “*consider other competing resource demands when planning and prioritising your work*” however it is expected they will all be reviewed prior to the next upload in October 2022.

Table 1: Progress to date (July 2022)

Match type	Number of high and medium risk matches	Closed Matches and outcomes from these.	Service Update
Blue Badge Parking Permit	1134	1134 closed. 349 badges have been cancelled; the Cabinet Office estimates a notional saving of £200,675.00 for these.	All matches have been reviewed by the service and the NFI portal has been updated.
Concessionary Travel	1772	57 closed. No errors or issues identified in the 57 closed.	The service is currently reviewing all remaining matches on the portal, the service has identified 25

			matches where further investigation is needed.
Creditors	4930	4930 closed.	All matches have been reviewed by the service and the NFI portal has been updated.
Payroll	122	122 closed. No errors or issues identified in the 122 closed.	All matches have been reviewed by Internal Audit and the NFI portal has been updated.
Pensions	553	268 closed. Overpayments totalling £63,440.03 from 41 matches have been identified and being recovered. These errors relate to un-notified date of death.	The service is currently reviewing all remaining matches on the NFI portal and will update with outcomes once complete.
Residential Parking Permits	6	0 closed.	The service is currently reviewing all matches on the NFI portal and will update with outcomes once complete.
VAT	46	0 closed.	These have all been reviewed by the service however outcomes are yet to be added to the NFI portal.
Procurement	66	65 closed. No errors or issues identified in the 65 closed.	The remaining match is being investigated by Internal Audit.
Total	8629	6576 closed. £63,440.03 overpayments identified and being recovered. £200,675.00 in notional savings.	