CCC INTERNAL AUDIT – SUMMARY OF COMPLETED REVIEWS 2021/22

The table below summarises the Internal Audit reviews that were completed during the 2021/22 financial year. Where reports have been issued as draft but not yet finalised, this is noted and the draft opinion is given.

Audit Title	Directorate	Compliance assurance	Systems assurance	Organisational impact
Key Financial Systems Reviews				
Accounts Payable (Draft)	Cross cutting	Good	Good	Minor
Debt Recovery (Draft)	Cross cutting	Good	Moderate	Minor
Treasury Management	Cross cutting	Good	Good	Minor
Accounts Receivable (Draft)	Cross cutting	Good	Good	Minor
Pensions	Cross cutting	TBC – Lead Authority audit		
Payroll	Cross cutting	TBC – Lead Authority audit		
Grant Reviews & Certifications				
Troubled Family Grant	People and Communities	Grant Certification Provided		
Community Testing Grant	Cross cutting	Grant Certification Provided		
Disabled Facilities	People and Communities	Grant Certification Provided		
Additional Home to School Grant	People and Communities	Grant Certification Provided		
Active Travel Fund	People and Communities	Grant Certification Provided		
Local Transport Capital Block Funding (Highways Maintenance)	In conjunction with CPCA	Grant Certification Provided		
Pothole & Challenge Fund	In conjunction with CPCA	Grant Certification Provided		
BSOG (Bus Service Operators Grant)	In conjunction with CPCA	Grant Certification Provided		
Schools Audits				
Schools Safer Recruitment	People and Communities	Good	Good	Minor
Schools Payroll 20/21	People and Communities	Limited	Moderate	Minor
Schools Financial Assurance Consolidated Report (Draft)	People and Communities	Moderate	Moderate	Minor
Brampton Village Primary School	People and Communities	Good	Good	N/A
Friday Bridge Primary School (Draft)	People and Communities	Good	Good	N/A
Shirley Community Primary School	People and Communities	Limited	Moderate	N/A

Audit Title	Directorate	Compliance assurance	Systems assurance	Organisational impact
Burwell Village College Primary School	People and Communities	Moderate	Limited	N/A
Great Gidding C of E Primary School (Draft)	People and Communities	Moderate	Moderate	N/A
Linton C.E Infant School (Draft)	People and Communities	Moderate	Good	N/A
Clarkson Infant and Nursery School (Draft)	People and Communities	Moderate	Good	N/A
Little Paxton Primary School	People and Communities	Moderate	Moderate	N/A
Coton Church of England (VC) Primary School	People and Communities	Limited	Limited	N/A
Hardwick and Cambourne Community Primary School (Draft)	People and Communities	Moderate	Good	N/A
Bushmead Primary School (Draft)	People and Communities	Moderate	Moderate	N/A
The Spinney Primary School (Draft)	People and Communities	Moderate	Moderate	N/A
Spring Meadow Infant and Nursery School (Draft)	People and Communities	Moderate	Moderate	N/A
The Fields Nursery School (Draft)	People and Communities	Limited	Limited	N/A
Other Audit Reviews				
Dedicated Schools Grant High Needs Block Funding	People and Communities	Satisfactory	Satisfactory	Moderate
Declaration of Interest – Members	Law and Governance	Good	Good	Minor
Declarations of Interest (Employees)	Cross-Cutting	Limited	Moderate	Minor
Contract Management – Healthy Child Programme	Public Health	Moderate	Limited	Minor
Procurement Compliance	Cross-Cutting	Moderate	n/a	Minor
Fees and Charges	Cross-Cutting	Limited	Moderate	Minor
Spend over 20K	Cross-Cutting	Moderate	N/A	Minor
Insurance Fund	Resources	Good	Good	Minor
Annual Key Policies & Procedures Review (Draft)	Cross-Cutting	N/A	Good	Minor
Payroll Analytics	Cross-Cutting	Advisory Report issued		
Foster Carer Overpayments Review	People & Communities	Review of overpayment calculations completed and report produced		
Whistleblowing, Investigations & Case Review Reports				
Libraries Self Service Machines Review	People and Communities	Advisory Report issued		
Library Preschool Investigation	Cross Cutting	Investigation Report issued		

Audit Title	Directorate	Compliance assurance	Systems assurance	Organisational impact
FOI Review	Cross Cutting	Advisory Report issued		
Foster Carer Overpayments Case Review (1)	People and Communities	Case review report issued		
Foster Carer Overpayment Case Review (2)	People and Communities	Case review report issued		
Interim Team Leader Investigation	People and Communities	Investigation Report issued		
Direct Payment Case Review	People and Communities	Case review report issued to support management of complex Direct Payments case		
Contractors Appointment Assurance	Cross Cutting	Investigation Report issued		
Other Internal Audit Work				
Highways Contract	Place & Economy	Open-book review of the Highways Contract		
Waste PFI	Place & Economy	Advice and support on contract management of Waste PFI Contract		
Highways Contract Commercial Group	Place & Economy	Advice and support on contract management of Highways Contract		
Major Infrastructure Delivery Project Assurance Group	Place & Economy	Advice and support to MID Project Assurance Group		
Contract Management Steering Group	Cross Cutting	Advice and support to Contract Management Steering Group		
Council Tax NFI Project	Resources	Advice and support to the Council Tax NFI Project		
Direct Payments Fraud & Misuse Policy	Cross Cutting	Work on developing a corporate policy on fraud and misuse of Direct Payments		
Sustainability Panel	Cross Cutting	Attendance at Panel meetings to provide advice and support		
Information Management Board	Customer & Digital Services	Attendance at Board meetings to provide advice and support		
Code of Corporate Governance	Cross Cutting	Completed annual review of the Council's Code of Corporate Governance		