

Annex A
Internal Audit Plan Progress
2024/25

Progress to 30th June 2024 with the core Internal Audit Plan 2024/25 and the agreed Q1 'flexible' plan, on the basis of individual reviews completed, is summarised as follows:

Audit Plan 24/25 Progress In-Year		
Total Completed & Closed Reviews	2	2%
Ongoing Work (i.e. which will not 'close' until the end of the financial year)	15	17%
Draft Report Issued	4	5%
Fieldwork In Progress	25	28%
Reviews at Terms of Reference (ToR) stage and before	8	9%
24/25 Planned reviews yet to start	35	39%
Reviews on hold/paused at Director request	0	0%

Detail of the agreed Core and Q1 'flexible' Internal Audit Plan 2024/25, including progress to 30th June 2024, is provided below:

AUDIT TITLE	Directorate	TYPE OF WORK	PROGRESS
Estate Health & Safety Inspections	Finance & Resources	Audit	Fieldwork
Establishment Control	Finance & Resources	Audit	Fieldwork
Quality Assurance in Childrens Social Care	Children's	Audit	Fieldwork
Disciplinary Policy & Application	Strategy & Partnerships	Audit	Fieldwork
In House Foster Carers - New Applicants & Placements	Children's	Audit	Fieldwork
Recruitment Policy & Compliance	Strategy & Partnerships	Audit	Fieldwork
Mosaic System Uploads, Data Integrity and Key Controls	Finance & Resources	Audit	Fieldwork
Business Planning	Strategy & Partnerships	Audit	Terms of Reference & prior
Adult Social Care Complaints	Adults	Audit	Not started
Investment Properties	Finance & Resources	Audit	Terms of Reference & prior
Light Blue Fibre Ltd	Place & Sustainability	Audit	Terms of Reference & prior
Direct Payments	Adults	Audit	Terms of Reference & prior
Quality Assurance in Adult Social Care	Adults	Audit	Not started

IT Security for Employees Working Overseas	Finance & Resources	Audit	Terms of Reference & prior
Capital Budgetary Control	Finance & Resources	Audit	Terms of Reference & prior
Supporting Families	Children's	Grants	Ongoing
Local Transport Capital Block Funding (Highways Maintenance)	Place & Sustainability	Grants	Not started
Pothole and Challenge Fund	Place & Sustainability	Grants	Fieldwork
Disabled Facilities Grant	Adults	Grants	Fieldwork
Contain Outbreak Management Fund (COMF)	Public Health	Grants	Fieldwork
Open to All Community Experience Grant	Children's	Grants	Fieldwork
Targeted Community Experience Grant	Children's	Grants	Fieldwork
Biodiversity Net Gain Grant	Place & Sustainability	Grants	Fieldwork
Basic Needs Funding	Children's	Grants	Fieldwork
A14 Grant	Place & Sustainability	Grants	Fieldwork
Traffic Signals Grant	Place & Sustainability	Grants	Complete
Street Lighting PFI Contract	Place & Sustainability	Audit	Fieldwork
Highways Contract	Place & Sustainability	Audit	Terms of Reference & prior
Waste PFI	Place & Sustainability	Audit	Terms of Reference & prior
Treasury Management 24 - 25	Finance & Resources	Audit	Fieldwork
Debt Recovery 23 - 24	Finance & Resources	Audit	Fieldwork
National Fraud Initiative	CCC	Investigations	N/A
Fraud Investigations Review Process	CCC	Investigations	N/A
Case 143 - Direct Payments Case	Adults	Investigations	N/A
Case 145 - Governance Review	Finance & Resources	Investigations	N/A
Case 148 - Direct Payments Case	Adults	Investigations	Fieldwork
Case 149 - Bank Mandate Fraud	Finance & Resources	Investigations	Fieldwork
Case 150 - Landbeach Bridlepath	Place & Sustainability	Investigations	Fieldwork
Case 151 - ICT	Finance & Resources	Investigations	Draft report
Case 152 - HR Investigation	Children's	Investigations	Fieldwork
Case 154 - Disciplinary Investigation	Place & Sustainability	Investigations	Draft report
Pro-active Counter Fraud Work	CCC	Investigations	N/A
Development of Anti-Fraud & Corruption Strategy	CCC	Investigations	N/A
Development of Counter Fraud & Whistleblowing eLearning	CCC	Investigations	Draft report

Council Tax NFI Project	CCC	Investigations	N/A
Whistleblowing Policy Annual Review	CCC	Support	Not started
Schools Assurance Auditing	Children's	Audit	Not started
Queens Federation School Audit	Children's	Audit	Not started
Schools Causing Concern Meetings	Children's	Support	N/A
Information Management Board	Strategy & Partnerships	Support	N/A
Annual Governance Statement/Code of Corporate Governance	CCC	Support	Complete
Risk Management	CCC	Risk Management	N/A
Development of Risk Management eLearning	CCC	Risk Management	Draft report
Risk Management	CCC	Risk Management	N/A
Advice & Guidance	CCC	Support	N/A
Freedom of Information Requests	CCC	Support	N/A
Follow-Ups of Agreed Actions	CCC	Support	N/A
Committee Reporting	CCC	Support	N/A
Management Reporting	CCC	Support	N/A
Audit Plan	CCC	Support	N/A