# Agenda Item No: 6

# **LEGAL SUPPORT IMPROVEMENT PLAN**

To: Children and Young People Committee

Meeting Date: 12<sup>th</sup> September 2017

From: Quentin Baker, Director of LGSS Law Ltd

Electoral division(s): All

Forward Plan ref: n/a Key decision: No

Purpose: To inform the Committee on the development of the

People and Communities legal support Improvement Plan.

Recommendation: To note the content of the Improvement Plan and its

progress to date in meeting the objectives.

	Officer contact:		Member contacts:
Name:	Quentin Baker	Names:	Councillor Simon Bywater
Post:	Director, LGSS Law Ltd	Post:	Chairman, Children and Young People Committee
Email:	Quentin.baker@LGSSLaw.co.uk	Email:	Simon.Bywater@cambridgeshire.g ov.uk
Tel:	01223 727961	Tel:	01223 706398

#### 1. BACKGROUND

1.1 LGSS Law Ltd is a social enterprise law firm jointly owned by Cambridgeshire County Council, Northamptonshire County Council and Central Bedfordshire Council. It utilises a company model as a framework for the delivery of a shared legal service and commenced trading in April 2015.

## The model was developed to:

- i) Facilitate local authorities to collaborate on the provision of legal services and retain real ownership and influence of the service.
- ii) By exploiting economies of scale to deliver improved value for money to its owner/clients in respect of their use of legal services.
- iii) To enable the development of specialist teams to reduce the volume of work outsourced to commercial legal service providers thereby reducing the overall legal spend by clients.
- iv) To foster a more business-like relationship between service provider and client resulting in a more effective and appropriate deployment of legal services and a more client focussed service.
- v) To deliver financial benefits to its owners, such as dividends and fee reductions, through the trading of services to external client organisations within the public and not for profit sectors.
- 1.2 LGSS Law undertakes legal work for a number of teams within People and Communities, covering the full range of children's and adults social care and education functions.
- 1.3 During the first two years of trading LGSS Law has undertaken a dramatic transformation as a result of its move to this more business like model and the considerable increase in the volume of work it undertakes. This initial start-up phase saw a number of significant challenges which have at points impacted upon the service for clients. Some key examples include the major ICT upgrades which were needed to improve the functionality of the accounting and case management systems. This project culminated in the first half of 2017 with the implementation of a new practice management system for LGSS Law that caused major service disruption. The implementation is now almost fully completed and the service is no longer experiencing problems of the same nature.
- 1.4 Another challenge has been the cultural change that for some members of the team has proved particularly difficult to embrace. The team has seen a number of long serving team members retire or move to other employment and this coupled with the unprecedented increase in demand from the Children's Social Care teams has placed LGSS Law under some pressure to maintain service levels, particularly when balancing the need to prioritise the high volume of urgent child protection applications with less urgent work.
- 1.5 In November 2016 the Children and Young People (CYP) Committee requested that Wendi Ogle-Welbourn investigate the Council's practices in relation to early interventions to reduce legal costs. During these investigations, it became clear that CFA, as it was then known, did not have a clear enough understanding of their relationship with LGSS Law and

how to fully access the legal services available through LGSS Law. A paper summarising these issues was taken to CFA Management Team in March 2017. LGSS Law and People and Communities colleagues then undertook an intensive piece of work to identify how the delivery of the legal service could be brought more in to line with the needs of the rapidly changing People and Communities teams, in order to ensure that the Service is able to provide residents with the best possible support.

1.6 The Joint Improvement Plan (attached at Appendix A) referred to in this report has evolved out of this piece of work.

#### 2. MAIN ISSUES

- 2.1 A joint workshop took place in late June 2017. The workshop, along with other consultation between LGSS Law and CFA, highlighted concerns regarding legal services in several areas which can be summarised as follows:
  - i) Lack of capacity impacting upon service responsiveness (resulting, in some limited cases, in missed deadlines) and upon quality of legal provision.
  - ii) The need for greater clarity as to the escalation points for clients.
  - iii) Lack of sufficient management information in relation to the progression of children's care proceedings cases.
  - iv) Requirement for greater control/influence over decisions that have financial consequences for clients such as the use of external experts such as independent social workers/ psychologists/ psychiatrist and the use of barristers to present cases at court.
  - v) Need for greater clarity/consistency regarding the division of functions and responsibilities between Lawyer and Client.
  - vi) The lack of general awareness about the LGSS Law Social Enterprise Model and lack of visibility of information about team members.
- 2.2 The Improvement Plan was jointly designed in order to address the service issues identified through consultation and the workshop.
- 2.3 The implementation of the plan is led by the LGSS Law Principal Lawyer, Eve Chowdhury, as head of the Project Team. The project oversight is provided by a Project Board comprised of Theresa Leavy, Charlotte Black and Quentin Baker. A full review will be conducted in January 2018 to assess how effective the improvement activity has been.

## <u>Progress</u>

2.4 The LGSS Law finance team is working closely with People and Communities finance colleagues to tailor the billing/invoicing information to better reflect the information required by budget holders and managers within the Service and to improve the efficiency of the billing 2.5 process. A review of the arrangement of cost centres within People and Communities may further enhance managers' ability to monitor expenditure at a more granular level.

- 2.6 To assist managers in predicting and managing their legal spend, LGSS Law provides a costs estimate and a time estimate to the instructing officer within People and Communities whenever it receives a referral to act on a new matter. These estimates are kept under review throughout the case, and any updates notified to the instructing officer.
- 2.7 In relation to Children's Social Care, LGSS Law has introduced a 'Case Tracker' to provide the necessary non-financial information with regards to children's care proceedings cases and families at the formal 'pre-proceedings' stage (known as PLO). LGSS Law has created a new post dedicated to the production of management information (MI) in order to provide improved visibility of costs and greater control over decisions which incur addition costs.
- 2.8 LGSS Law and Children's Social Care managers are working jointly on a Service Level Agreement (SLA) focussing on the main areas of work (in particular, the conduct of care proceedings). As well as showing the division of responsibilities within each service, this sets out service standards, expectations and turnaround times for key pieces of work. It also covers, for example, the circumstances in which LGSS Law may instruct a barrister to represent the Council at court, and the appropriate seniority of barristers (which has costs implications). Fees will be agreed in advance of the hearing to ensure that the best value can be achieved taking into account case complexities.
- 2.9 It is anticipated that Children's Social Care SLA will be finalised in September 2017.
- 2.10 Further discussions are underway to develop similar Service Level Agreements between LGSS Law and senior managers with responsibility for other People and Communities functions (Adults Social Care, Education, Commissioning). These agreements may address in detail how Lawyer and Client should work together on, for example, Court of Protection cases, Special Educational Needs Tribunals, Academy conversions.)
- 2.11 LGSS Law has undertaken a recruitment drive for both permanent and locum staff, to increase its capacity to respond in a timely way to all enquiries, recognising the unpredictable and often urgent nature of, for example, child protection and adult safeguarding work. There has been a particular focus on increasing the proportion of paralegals within the teams, to provide improved value for money and enable qualified lawyers to undertake the higher levels of work such as advocacy in Court and at Tribunals.

#### 3. ALIGNMENT WITH CORPORATE PRIORITIES

### 3.1 Developing the local economy for the benefit of all

There are no significant implications for this priority.

# 3.2 Helping people live healthy and independent lives

No significant implications.

## 3.3 Supporting and protecting vulnerable people

Implementation of the Improvement Plan will aid People and Communities in its ability to support and protect the County's children and vulnerable adults.

## 4. SIGNIFICANT IMPLICATIONS

# 4.1 Resource Implications

Implementation of the Improvement Plan will ensure that:-

- LGSS Law provides a high quality, value for money legal service.
- People and Communities has sufficient transparency regarding the cost of its legal service to enable it to predict, monitor and manage its legal spend.

# 4.2 Procurement/Contractual/Council Contract Procedure Rules Implications

No significant implications.

# 4.3 Statutory, Legal and Risk Implications

People and Communities relies on the provision of high quality, timely legal advice to meet its many statutory functions and duties towards vulnerable people. Implementation of the Improvement plan will support officers in People and Communities to use the legal service appropriately, to take well-informed decisions, to better manage the risks associated with its functions and to secure favourable outcomes in cases where legal action does become necessary.

## 4.4 Equality and Diversity Implications

No significant implications.

### 4.5 Engagement and Communications Implications

No significant implications.

### 4.6 Localism and Local Member Involvement

No significant implications.

### 4.7 Public Health Implications

No significant implications.

Implications	Officer Clearance
Have the resource implications been	Yes
cleared by Finance?	Name of Financial Officer: Martin Wade
Have the procurement/contractual/	Yes
Council Contract Procedure Rules	Name of Officer: Paul White
implications been cleared by the LGSS	
Head of Procurement?	

Has the impact on statutory, legal and risk implications been cleared by LGSS Law?	Yes Name of Legal Officer: Fiona McMillan
Have the equality and diversity implications been cleared by your Service Contact?	Yes or No Name of Officer:
Have any engagement and communication implications been cleared by Communications?	Yes or No Name of Officer:
Have any localism and Local Member involvement issues been cleared by your Service Contact?	Yes or No Name of Officer:
Have any Public Health implications been cleared by Public Health	Yes Name of Officer: Val Thomas

Source Documents	Location
LGSS Law / CCC CFA Service Improvement Plan listing actions and timescales 4.6.17	c/o Director of LGSS Law Ltd Scott House 5 George Street Huntingdon PE29 3AD