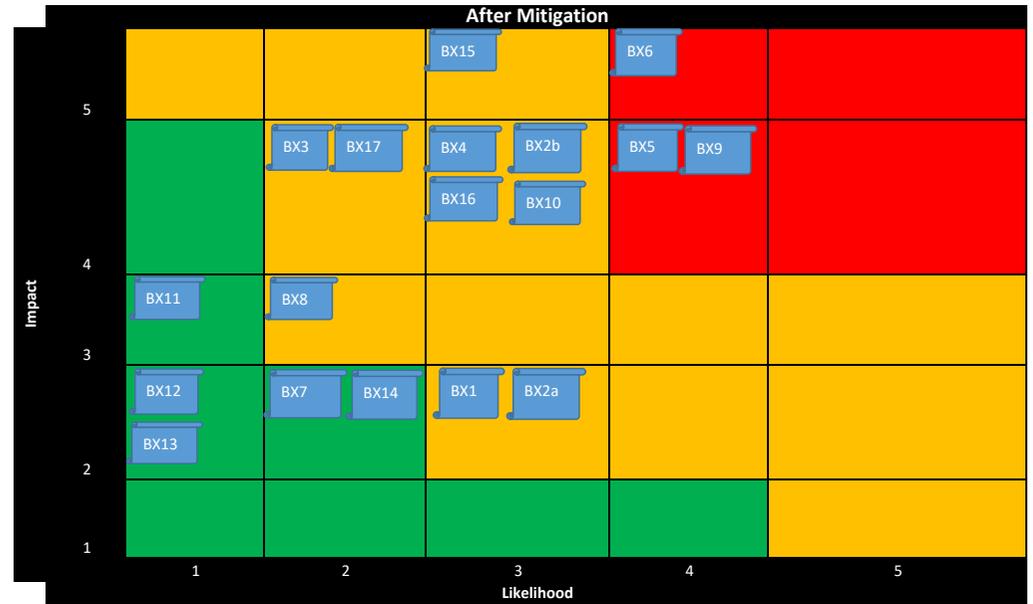


EU EXIT RISK REGISTER: Scoring and Cluster Matrix November 2020



Risk Scoring Matrix					
VERY HIGH	5	10	15	20	25
HIGH	4	8	12	16	20
MEDIUM	3	6	9	12	15
LOW	2	4	6	8	10
NEGLECTIBLE	1	2	3	4	5
IMPACT / LIKELIHOOD	VERY UNLIKELY	UNLIKELY	POSSIBLE	LIKELY	VERY LIKELY
Red (risk scores 16 to 25):		Excess of Council's risk appetite			
Amber (risk scores 5 to 15):		Likely to cause the Council some difficulties			
Green (risk scores 1 to 4):		Monitor as necessary			

**EU EXIT RISK REGISTER:
November 2020**

				Before Mitigation						After Mitigation				
Ref	Category	Explanation	Potential Impacts	Likelihood	Impact	Score	Ref	Update and Mitigation- Summary. DATE 09/11/20	Likelihood	Impact	Score	Lead Officer	Last Review	
BX1	Citizens Rights / Migration-Community Relations	Potential increase in community tension linked to Brexit.	Increase in community tensions causing damage to community cohesion, including potential rising tensions in schools	Likely	High	16	BX1	Local hate crime and community tensions do not indicate any increase in such cases targeting EU citizens. Work continues to be done in close partnership with Tension Monitoring Group which includes police, city council and community partner organisations.	Possible	Low	6	Jawaid Khan Rob Hill	09/11/2020	
BX2a	Citizens Rights / Migration	Citizens from mainland Europe will need to successfully apply for settled status to enable them to continue to live and work in the UK beyond the date specified by the government.	Complications on rights and status of EU citizens and workers - impact on vulnerable people who may not understand requirements	Likely	High	16	BX2a	EUSS has been reported to be progressing smoothly locally. Issues relating to some EU nationals having difficulty to obtain supporting documents from their respective embassies has been earlier brought to the attention of Home Office. As we move towards the deadline for registration, it is possible to expect a sudden increase in number of people coming in from EU to the country. DWP are closely monitoring the situation through NI registration process. Locally, close collaboration is being done through Peterborough Hub and local voluntary and community sector partners to monitor the situation.	Possible	Low	6	Jawaid Khan Rob Hill	09/11/2020	
BX2b	Citizens Rights / Migration	Some Children in Care and Care Leavers who fall within the remit of the Corporate Parenting Service are citizens of mainland Europe and will need to apply for settled status.	Looked After Children (LAC)	Likely	High	16	BX2b	We hold a tracker for CCC that has identified the cohort of children in care and care leavers and we are actively tracking the progress of getting these young people settled status. The settled status scheme has been open for 18 months now and we are working our way through the cohort. We anticipate that we will be completed by April 2021 which is the closure deadline of the scheme. We can provide numbers from the spreadsheet or the spreadsheet itself if required. In regards to other children and young people the Local Authority is in touch with either in Early Help, Child in Need (CIN) or Child Protection (CP). There is a duty to assist and advise and we have sent around the guidance and process for achieving settled	Possible	High	12	Peter Goddard Myra O'Farrell	26/11/2020	
BX3	Supplies	The supply of medicinal drugs may be impacted by import disruption.	Limited availability of medicinal drugs	Possible	Very High	15	BX3	Local communications on national guidance continues to be sent to GPs and the local message to providers continues to be not to stockpile medication supplies. An ongoing dialogue is in place with NRS, our community equipment supplier, who have assured us that business continuity plans are in place. NRS are sharing their risk register and mitigating actions, and proactively taking steps to manage supply. This confirms access to medication for those in social care will be covered by national contingency plans. The Department of Health and Social Care (DHSC) has been working alongside National Health Service England (NHSE) to ensure that social care is included within the contingency plans for medication. National contingency is an assured 6 week supply and working with pharmacies and drug companies to ensure stock. Public Health have written to providers to ensure contingency plans are in place for commissioned services. So far, there have been no medicinal drug shortages that can be specifically attributable to Brexit or the withdrawal of the UK from the EU.	Unlikely	High	8	Caroline Townsend	09/11/2020	
BX4	Workforce	CCC and PCC workforce includes citizens from mainland Europe. These workers will need to successfully apply for settled status.	Complications on rights and status of EU citizens and workers - reduction in CCC/PCC workforce, especially in hard to fill roles such as in Adult Social Care, Children's Social Care, Education	Likely	High	16	BX4	Current CCC data = 43% of employees have entered their nationality data on ERP. Those remaining will be followed up in a more focused way. We also plan to ask again for all to update their nationality and sign post info re EU settlement scheme and deadline.	Possible	High	12	Lisa Brightey Anna Syson Janet Atkin	09/11/2020	

Ref	Category	Explanation	Potential Impacts	Likelihood	Impact	Score	Ref	Update and Mitigation- Summary. DATE 09/11/20	Likelihood	Impact	Score	Lead Officer	Last Review
BX5	Workforce	CCC and PCC commission external organisations to provide services for them. Workers in these organisations who are from mainland Europe will need to successfully apply for settled status.	Reduction in workforce in services that are commissioned by CCC and PCC, such as care workers, cleaners, security staff, construction	Very Likely	Very High	25	BX5	Current Skills for Care data shows the EU proportion of workers as: Cambridgeshire 12%, Peterborough 9% which is high compared to other areas in the East of England. CCC and PCC continue to have regular dialogue with providers, this includes (i) Communicating the latest information regarding EU settlement to providers, to enable them to support their workforce with application information; (ii) Seeking assurance from providers that they have adequate business continuity plans in place; and (iii) Ongoing dialogue with providers, including via existing provider forums, to ensure provider concerns and issues can be identified. We are supporting Business Continuity Planning with providers as part of contract management, ensuring that planning is inclusive of Brexit alongside other business continuity challenges, e.g. COVID.	Likely	High	16	Oliver Hayward Caroline Townsend	09/11/2020
BX6	Recruitment	The Government is bringing in a new immigration policy which will determine the rules for recruiting workforce from outside the UK.	Changes to rules for recruiting staff from outside the UK	Very Likely	Very High	25	BX6	We have worked through the government guidance now available on the points-based immigration system and put together a draft document explaining the changes/new system with information on current employees and how the new system will work for recruitment and timescales. This now needs to be finalised and then added to the recruitment guidance to detail pre-employment checking processes that will apply under the new scheme.	Likely	Very High	20	Lisa Brightey Anna Syson Janet Atkin	09/11/2020
BX7	Finance / Funding	Part of the Council's income is from business rates. If business owners are from mainland Europe, or rely on workforce from mainland Europe, businesses may close resulting in a loss of income from business rates.	Reduction of income from business rates (for example, as a result of EU-owned businesses closing)	Unlikely	Low	4	BX7	There is a stronger risk from the impact of C-19 & businesses failing, than the risk from Brexit. Given the amount of time which has passed since the Brexit announcement & transitions, I think this one has 'worked' through the system. I have contacted the Business Rates team to confirm - though they are extremely busy with the additional C-19 grant awards.	Unlikely	Low	4	Kirsty Nutton Jonny Trayer	09/11/2020
BX8	Finance / Funding	Some Council projects benefit from EU funding, which could be lost.	Loss of EU funding for projects, or loss of government funding	Unlikely	Medium	6	BX8	Little to no impact for PCC as no funding from the EU is received. Government has indicated that all funding will be replaced by a British funding system, the UK Shared Prosperity Fund.	Unlikely	Medium	6	Kirsty Nutton Jonny Trayer	09/11/2020
BX9	Finance / Funding	Goods and services that the Council purchases could become more expensive due to potential increased costs of importing goods and potential increased costs of workforce.	Pressures on budgets from other sectors, such as increase in cost of workforce in services that we commission, as well as cost of products and materials that we purchase	Very Likely	Very High	25	BX9	Providers for ASC are already being engaged & supported directly through market sustainability payments due to C-19. Impact from Brexit reduce due to mitigation measures from C-19 actions.	Likely	High	16	Kirsty Nutton Jonny Trayer	09/11/2020
BX10	Finance / Funding	The impact of Brexit on overall UK finances could be negative.	General financial implications - for example, reduced value of the pound, demand placed on the national payments and banking systems, financial downturn, negative impact on the council pension scheme, implications for Council Tax, resultant poverty issues	Possible	High	12	BX10	Impact from C-19 more of an impact as demand for council services increase & income sources decrease. May compound currently funding issue at PCC.	Possible	High	12	Kirsty Nutton Jonny Trayer	09/11/2020

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BX11	Regulations / Legislation	The UK currently operates within EU legislation, and so the UK has to have its own laws in place when we leave the EU.	Changes in legislation and/or regulations for food production/packaging, food traceability, disease control, animal movement, employment, procurement, competition law, equalities and rights, health and safety, crime and security, waste and recycling, and state aid. In the longer term - simplification of regulatory frameworks and increased flexibility.	Unlikely	Medium	6	BX11	CCC and PCC have worked with a number of partner agencies including the Office for Product Safety & Standards (OPSS) and with representation on local and national groups to fully understand and comment on issues that may impact on the service or our clients. The Government has accelerated the sharing of guidance and much information has been made available online in response to both industry and regulatory bodies highlighting the need for clear direction. There are now transitional arrangements in place for most legislation for which we have a responsibility allowing businesses time to adjust to the changed environment. Any future changes to legislative requirements will be subject to consultation and review. The status of Northern Ireland (NI) and the additional requirements does create complications for businesses who operate across all of the UK and there are still some NI issues not yet decided. The impact of a "no deal" Brexit whilst difficult to fully quantify, appears to have been factored in by many businesses but negotiations continue on "red line" issues such as State Aid. Both sides are saying they want an agreement even though at this stage it would be very limited in extent. Given the progress that has been made in the last 12 months on updating UK legislation the risk factor is now "very unlikely".	Very Unlikely	Medium	3	Stuart Brough Peter Gell	09/11/2020
BX12	Regulations / Legislation	The waste service may be affected by changing regulations and legislation.	Complications in the shipping of waste. Issues which arise leading to difficulty in collecting or treating household and municipal waste collected in the local authority area. E.g. Fuel supply, skilled labour availability, parts and technical support, recruitment of staff. County Council is predominantly responsible for waste treatment and is somewhat less exposed to the impacts of fuel supply, skilled drivers who may require leave to stay and/or have issues which could arise from passporting of drivers qualifications to operate HGV in UK. PCC and CCC have a common exposure to the implications of spare parts and technical support for their respective waste treatment facilities as significant elements of the process	Very Unlikely	Low	2	BX12	6/11/19 - PCC have an in-house waste collection function and external contracted waste treatment partners. CCC have external contract for disposal, Household Recycling Centre management and treatment only. Main risks are around import and export of materials however PCC & CCC do NOT export significant quantities of waste overseas. This reduces the risk for ongoing operations, however both CCC and PCC treatment plants have European companies providing maintenance, spares and repair. Facilities at Waterbeach in Cambridgeshire (for CCC) and facilities in Fengate Peterborough (for PCC) have not identified any red flag issues. In terms of recyclables, the contractor used by CCC, Cambridgeshire Districts and PCC tends to look to UK outlets to market segregated recyclables (we collect commingled recyclables at the kerbside and segregated at Household Recycling Centres). Low level of risk in access to routine spare parts for plants since these are held in stock. The CCC PFI contract allows access to a significant quantity of landfill void space at Waterbeach.	Very Unlikely	Low	2	Adam Smith Richard Pearn	09/11/20 - No changes to date
BX13	Procurement	There may be changes in the rules around how the Council can procure contracts.	Reduced opportunity for accessing public sector contracts due to fewer procurement opportunities	Very Unlikely	Low	2	BX13	Procurement service are working with regional procurement teams and National Advisory Group to keep on top of any guidance or changes to procurement practices re Brexit. There is work being done on new Procurement Regulations but these won't be ready until late 2021 at the earliest. Immediate impact will be a change to a UK portal instead of EU portal for procurement opportunities and closing of opportunities to EU organisations outside of the UK. Impact in terms of procurement is minimal in that we have predominantly UK-based providers. Processes will stay same immediately post-Brexit. Procurement has also sent out a RAG rating questionnaire to key suppliers to assess their Brexit readiness.	Very Unlikely	Low	2	Gus de Silva	09/11/2020
BX14	Devolution	As power transfers from the EU back to the UK it is possible that the government will devolve some of those powers to local government.	Increase in devolved powers moving from Brussels to local government (unlikely in the first 6 months)	Unlikely	Low	4	BX14	6/11/19 - We note the publication of the Housing, Communities and Local Government Select Committee's report into Brexit and Local Government and are keen to understand if the Government is supportive of the recommendations of that committee. We believe there are a number of helpful short-term recommendations contained in the report that could be picked up quickly and we agree that it would be helpful if Government could set out its longer-term plans for further devolution and arrangements for the Shared Prosperity Fund once the UK has left the European Union as soon as possible.	Unlikely	Low	4	Adrian Chapman	09/11/20 - no changes to date

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BX15	Transport	Disruption at ports could lead to a shortage of fuel which would then have an impact on transport.	Potential shortage of fuel supplies would impact on staff travelling to deliver services, including school staff travelling to their workplace, and delivery of supplies for school lunches, construction industry, public transport, and waste/refuse collection (PCC).	Possible	Very High	15	BX15	The fuel survey will be repeated in the lead up to the day of our exit from the EU, to identify services which may need additional support and may be eligible to access fuel from designated filling stations under the National Emergency Plan for Fuel. In addition, national government arrangements are in place to address disruption to supply of fuel, with local arrangements overseen and managed by the Local Resilience Forum. Further investigation is underway to look at the implications of this for care and reablement staff.	Possible	Very High	15	Leigh Dunbar Stewart Thomas	09/11/2020
BX16	Transport	Extra checks on goods coming into the UK could slow down the passage through the ports and cause congestion on our roads.	Transport problems due to the knock-on impact of border checks/barriers to trade	Possible	High	12	BX16	06/11/19 - Highways England will lead response to any issues on trunk road network. Our Local Resilience Forum has plans in place to support the welfare of drivers and passengers in the event of any local transport disruption caused by any crisis situation. Further work is underway to involve our highways teams, and to further examine potential "knock on" effects of transport problems. Also to investigate the potential "knock on" effect of road disruptions in congested areas.	Possible	High	12	Leigh Dunbar Stewart Thomas	9/11/20 - No changes to date
BX17	Exchange of personal data and General Data Protection Regulations (GDPR)	Data protection is currently under EU legislation, this will change when we leave the EU.	Data cannot be exchanged with other organisations in the EU and EEA as well as non-EEA countries such as USA No-deal Brexit: the risk will be how the transfer of data from the EEA to the UK will be legitimized as there is very unlikely to be an adequacy decision in place from the EU to cover the return of personal data. The issue will affect cloud storage such as Google, AWS and Microsoft based in Ireland where they are a processor of council data.	Possible	High	12	BX17	Ongoing review of what actions we need to take to ensure data flow. Currently awaiting Government and ICO decision on whether the use of standard contractual clauses is sufficient post Schrems decision. Discussions with Legal will continue.	Unlikely	High	8	Ben Stevenson	09/11/2020