

Annex A

Internal Audit Plan Progress 2024/25

Progress to 31st December 2024 with the core Internal Audit Plan 2024/25 and the agreed Q1-3 'flexible' plan, on the basis of individual reviews completed, is summarised as follows:

Audit Plan 24/25 Progress In-Year		
Total Completed & Closed Reviews	26	29%
Ongoing Work (i.e. which will not 'close' until the end of the financial year)	18	20%
Draft Report Issued	15	17%
Fieldwork In Progress	21	23%
Reviews at Terms of Reference (ToR) stage and before	7	8%
2024/25 Planned reviews yet to start	3	3%
Reviews on hold/paused at Director request	0	0%

Detail of the agreed Core and Q1-3 'flexible' Internal Audit Plan 2024/25, including progress to 31st December 2024, is provided below:

AUDIT TITLE	Directorate	TYPE OF WORK	PROGRESS
Estate Health & Safety Inspections	Finance & Resources	Audit	Fieldwork
Adults Commissioning Governance	Adults	Audit	Complete
Establishment Control	Finance & Resources	Audit	Complete
Quality Assurance in Childrens Social Care	Children's	Audit	Fieldwork
Disciplinary Policy & Application	Strategy & Partnerships	Audit	Fieldwork
In House Foster Carers - New Applicants & Placements	Children's	Audit	Draft report
Recruitment Policy & Compliance	Strategy & Partnerships	Audit	Fieldwork
Mosaic System Uploads, Data Integrity and Key Controls	Finance & Resources	Audit	Complete
Adult Social Care Complaints	Adults	Audit	Fieldwork
Investment Properties	Finance & Resources	Audit	Fieldwork

Light Blue Fibre Ltd	Place & Sustainability	Audit	Draft report
Direct Payments	Adults	Audit	Fieldwork
IT Security for Employees Working Overseas	Finance & Resources	Audit	Draft report
Capital Budgetary Control	Finance & Resources	Audit	Fieldwork
Response to Information Security Incidents	Strategy & Partnerships	Audit	Terms of Reference & prior
Best Value Guidance	CCC-wide	Audit	Fieldwork
Social Care Debt Management	Finance & Resources	Audit	Terms of Reference & prior
Adult Social Care Finance	Adults	Audit	Terms of Reference & prior
Projects Assurance (Non-Capital)	Strategy & Partnerships	Audit	Not started
LDP Pooled Budget Disaggregation (Projects Assurance)	Adults	Audit	Not started
Dedicated Schools Grant (DSG) Safety Valve (Projects Assurance)	Children's	Audit	Not started
Business Planning	CCC-wide	Audit	Terms of Reference & prior
Response to Health & Safety Incidents	Strategy & Partnerships	Audit	Terms of Reference & prior
Implementation of Ofsted Inspection Action Plan	Children's	Audit	Not started
Supporting Families	Children's	Grants	Ongoing
Local Transport Capital Block Funding (Highways Maintenance)	Place & Sustainability	Grants	Complete
Pothole and Challenge Fund	Place & Sustainability	Grants	Complete
Disabled Facilities Grant	Adults	Grants	Complete
Contain Outbreak Management Fund (COMF)	Public Health	Grants	Complete
Open to All Community Experience Grant	Children's	Grants	Complete
Targeted Community Experience Grant	Children's	Grants	Complete
Basic Needs Funding	Children's	Grants	Complete

A14 Grant	Place & Sustainability	Grants	Complete
Traffic Signals Grant	Place & Sustainability	Grants	Complete
Highways Grant Briefing Note	Place & Sustainability	Grants	Complete
Street Lighting PFI Contract	Place & Sustainability	Audit	Draft report
Highways Contract	Place & Sustainability	Audit	Fieldwork
Waste PFI	Place & Sustainability	Audit	Consultancy work complete
Treasury Management 24 - 25	Finance & Resources	Audit	Draft report
Debt Recovery 23 - 24	Finance & Resources	Audit	Complete
National Fraud Initiative	CCC	Investigations	N/A
Fraud Investigations Review Process	CCC	Investigations	N/A
Case 143 - Direct Payments Case	Adults	Investigations	Complete
Case 145 - Governance Review	Finance & Resources	Investigations	N/A
Case 148 - Direct Payments Case	Adults	Investigations	Fieldwork
Case 149 - Bank Mandate Fraud	Finance & Resources	Investigations	Complete
Case 150 - Bridlepath	Place & Sustainability	Investigations	Complete
Case 151 - ICT	Finance & Resources	Investigations	Draft report
Case 152 - HR Investigation	Childrens	Investigations	Fieldwork
Case 154a - Disciplinary Investigation	Place & Sustainability	Investigations	Complete
Case 154b - Disciplinary Investigation	Place & Sustainability	Investigations	Complete
Case 158 – Prepayment Card	Adults	Investigations	Fieldwork
Case 163 – Tenancy	Finance & Resources	Investigations	Fieldwork

Case 168 – Complaints	Adults	Investigations	Fieldwork
Case 174 - Brokerage	Adults	Investigations	Fieldwork
Pro-active Counter Fraud Work	CCC	Investigations	N/A
Development of Anti-Fraud & Corruption Strategy	CCC	Investigations	N/A
Development of Counter Fraud & Whistleblowing eLearning	CCC	Investigations	Complete
Council Tax NFI Project	CCC	Investigations	N/A
Whistleblowing Policy Annual Review	CCC	Support	Complete
Schools Assurance Auditing	Children's	Audit	Draft report
Alderman Payne School Audit	Children's	Audit	Complete
Castle Camps School Audit	Children's	Audit	Draft report
Castle School Audit	Children's	Audit	Draft report
Elton School Audit	Children's	Audit	Draft report
Granta School Audit	Children's	Audit	Draft report
Gt & Lt Shelford School Audit	Children's	Audit	Draft report
Queens Federation School Audit	Children's	Audit	Draft report
Samuel Pepys School Audit	Children's	Audit	Complete
St Annes School Audit	Children's	Audit	Complete
Trumpington School Audit	Children's	Audit	Draft report
Schools Causing Concern Meetings	Children's	Support	N/A
Information Management Board	Strategy & Partnerships	Support	N/A
Annual Governance Statement/Code of Corporate Governance	CCC	Support	Complete
Risk Management	CCC	Risk Management	N/A
Development of Risk Management eLearning	CCC	Risk Management	Draft
Risk Management	CCC	Risk Management	N/A
Advice & Guidance	CCC	Support	N/A
Freedom of Information Requests	CCC	Support	N/A
Follow-Ups of Agreed Actions	CCC	Support	N/A
Committee Reporting	CCC	Support	N/A
Management Reporting	CCC	Support	N/A
Audit Plan	CCC	Support	N/A