

Risk Assessment for Care Homes

Risk considered	Rag Rating	Actions to mitigate
<ul style="list-style-type: none"> No risks identified by home or external source No concerns identified by soft intelligence or contract reporting 	Blue	Daily Review of Return <ul style="list-style-type: none"> Daily email/telephone contact with home Continue to undertake routine monitoring Care home to update Capacity Tracker daily
<ul style="list-style-type: none"> Less than 5% symptomatic residents Less than 5% Resident RIP Less than 5% staff sickness/isolating Less than 5% reliance on temporary/agency staff Good management of Residents with Dementia or those lacking capacity No issues with PPE No issues with IP&C No soft intelligence concerns raised Consistent Good Primary Care support with virtual ward rounds in place Leadership available within the home Organisational Stability No safeguarding concerns or DOL identified No Media/Reputational issues 	Green	As Blue + <ul style="list-style-type: none"> Daily Support Call with Home Home Sent IP&C guidance Home Sent PPE Guidance Discussion at Operational Meeting Care home to update Capacity Tracker daily
<ul style="list-style-type: none"> 5-10% Residents symptomatic Less than 10% RIP 5-10% staff sick/isolating Less than 20% reliance on Temporary/Agency Staff 2 or more soft intelligence concerns raised Issues with PPE Inconsistent approach from Primary Care Concerns relating to IP&C Low level safeguarding /DOL concerns Leadership in home identifying anxiety 	Amber	As Green + <ul style="list-style-type: none"> Lead appointed to work with home Specific actions agreed at LA/CCG morning meeting Additional IP&C Support Social Worker support for home Redeployed Clinician staff to work with home Manager Consider support visit Primary Care Team to support GP to provide consistent good primary care in the home

<ul style="list-style-type: none"> Concerns relating to management of patients with Dementia /those lacking capacity Small Independent Provider Minor Adverse Media attention Minor Concerns with organisational stability 		<ul style="list-style-type: none"> Support from CPFT Dementia Services for residents with Dementia/lack of capacity May be discussed at Daily Escalation Meeting Care home to update Capacity Tracker daily
<ul style="list-style-type: none"> More than 10% symptomatic residents More than 10% resident RIP More than 10% staff sickness/isolating Significant reliance on Temporary/Agency Staff Poor Primary Care Support High numbers of residents with Dementia or those lacking capacity or home challenged in the management of residents with Dementia Concerns regarding PPE Concerns re IP&C Safeguarding / DOL concerns Multiple sources of soft intelligence concern Leadership broken down Organisational Instability Adverse Media attention 	Red	<p>As Amber +</p> <ul style="list-style-type: none"> Home Visit undertaken and actions agreed with the home IP&C input to working Provider of Last Resort asked to take over home Clinical Staff from CCG Redeployed to work in Care Home delivering Care. CPFT Dementia Consultant oversight and assessment of patients with Dementia CPFT Dementia services to support home Relevant GP Federation asked to support Primary Care Provision Discussion at Daily Escalation Meeting Care home to update Capacity Tracker daily

It is important to note that whilst there is a list of areas to consider as part of the assessment of risk, it is not a cumulative assessment and Professional Judgement is utilised in the decision of the overall risk rating and actions that will be put in place to mitigate.