

**INTERNAL AUDIT WORK COMPLETED (TO DRAFT STAGE OR FURTHER)**
**2024/5 Internal Audit Plan**

<b>AUDIT TITLE</b>	<b>SYSTEM OPINION</b>	<b>COMPLIANCE OPINION</b>	<b>ORG. IMPACT</b>
<b>FINANCIAL GOVERNANCE &amp; VALUE FOR MONEY</b>			
Rental Income	Limited	Limited	Moderate
Establishment Control	Moderate	Good	Minor
Investment Properties (Draft)	Limited	Moderate	Moderate
Capital Budgetary Control (Draft)	Moderate	Moderate	Moderate
Treasury Management 24 - 25 (Draft)	Moderate	Good	Minor
Debt Recovery 23 - 24	Moderate	Good	Moderate
<b>SAFEGUARDING &amp; HEALTH AND SAFETY</b>			
Estate Health & Safety Inspections	Limited	Limited	Moderate
In House Foster Carers - New Applicants & Placements	Good	Moderate	Minor
Implementation of Ofsted Inspection Action Plan (Draft)	Moderate	Good	Minor
Adult Social Care Complaints (Draft)	Moderate	Moderate	Moderate
<b>PROCUREMENT, CONTRACTS &amp; COMMISSIONING</b>			
Commissioning Governance	Good	Moderate	Moderate
Procurement Compliance	N/A	Moderate	Minor
Street Lighting PFI Contract	Moderate	Good	Minor
Waste PFI Support	N/A	N/A	N/A
<b>ICT &amp; INFORMATION GOVERNANCE</b>			
Mosaic System Uploads, Data Integrity and Key Controls	Good	Moderate	Moderate
IT Security for Employees Working Overseas	Limited	Moderate	Minor
Response to Information Security Incidents	Moderate	Moderate	Minor
<b>GRANTS</b>			
Supporting Families	Grant Certification provided		
Local Transport Capital Block Funding (Highways Maintenance )	Grant Certification provided		
Pothole and Challenge Fund	Grant Certification provided		
Disabled Facilities Grant	Grant Certification provided		
Contain Outbreak Management Fund (COMF)	Grant Certification provided		
Open to All Community Experience Grant	Grant Certification provided		
Targeted Community Experience Grant	Grant Certification provided		

Basic Needs Funding	Grant Certification provided		
A14 Grant	Grant Certification provided		
Traffic Signals Grant	Grant Certification provided		
Highways Grants Briefing Note	N/A	N/A	N/A
<b>SCHOOLS FINANCIAL GOVERNANCE</b>			
Overall Schools Report (Draft)	Limited	Moderate	Minor
Alderman Payne School Audit	Limited	Limited	N/A
Castle Camps School Audit	Limited	Moderate	N/A
Castle School Audit (Draft)	Limited	Moderate	N/A
Elton School Audit	Good	Moderate	N/A
Granta School Audit (Draft)	Limited	Moderate	N/A
Gt & Lt Shelford School Audit	Moderate	Moderate	N/A
Queens Federation School Audit	Moderate	Moderate	N/A
Samuel Pepys School Audit	Moderate	Moderate	N/A
St Annes School Audit	Limited	Limited	N/A
Trumpington School Audit	Good	Limited	N/A
Schools Causing Concern Meetings	Internal Audit attendance & advice		
<b>PROJECT MANAGEMENT &amp; CHANGE</b>			
Projects Assurance (Non-Capital) (Draft Expected)	Moderate	Moderate	Moderate
LDP Pooled Budget Disaggregation (Projects Assurance) (Draft)	Limited	Limited	Major
<b>GOVERNANCE &amp; BUSINESS CONTINUITY</b>			
Disciplinary Policy & Application (Draft)	Good	Moderate	Minor
Recruitment Policy & Compliance	Good	Moderate	Minor
Light Blue Fibre Ltd	Good	Good	Minor
Corporate Key Performance Indicator Framework (Draft)	Moderate	Limited	Minor
Whistleblowing Policy Annual Review	Annual review of Whistleblowing Policy		
Development of Counter Fraud & Whistleblowing eLearning	New "Essential" eLearning delivered		
Pro-active Counter Fraud Work	N/A		
Council Tax NFI Project	N/A		
Information Management Board	N/A		
Annual Governance Statement/Code of Corporate Governance	N/A		
Global Internal Audit Standards (GIAS) Review	Annual self-assessment complete		
Pension Fund Audit Plan Development	Delivery of proposed 3 year Pension Fund Audit Plan		
Advice & Guidance	N/A		
Freedom of Information Requests	N/A		
Follow-Ups of Agreed Actions	N/A		
Committee Reporting	N/A		
Management Reporting	N/A		

Audit Plan	N/A
<b>INVESTIGATIONS</b>	
National Fraud Initiative	Ongoing co-ordination of NFI processes
Fraud Investigations Review Process	Ongoing triage of whistleblowing and fraud referrals
Case 143 - Direct Payments Case (JS)	N/A - Investigation
Case 145 - Governance Review	N/A - Investigation
Case 148 - Direct Payments Case (GM)	N/A - Investigation
Case 149 - Bank Mandate Fraud	N/A - Investigation
Case 150 - Landbeach Bridlepath	N/A - Investigation
Case 151 - ICT Thefts	N/A - Investigation
Case 152 - HR Investigation (Draft)	N/A - Investigation
Case 154a - Disciplinary Investigation	N/A - Investigation
Case 154b - Disciplinary Investigation	N/A - Investigation
Case 158 - Prepayment Card	N/A - Investigation
Case 163 - Tenancy (Draft)	N/A - Investigation
Case 168 - Complaints & HR (Draft)	N/A - Investigation
Case 177 - Children's Social Care (Draft)	N/A - Investigation
<b>RISK MANAGEMENT REVIEWS</b>	
Risk Management	Ongoing risk management support and co-ordination
Annual Assurance on Risk Management	Risk Maturity Review delivered to draft
Development of Risk Management eLearning	New E-Learning delivered
Risk Assurance Reviews	Ongoing risk assurance work
<b>WORK CARRIED FORWARD (as part of the rolling Audit Plan)</b>	
Best Value Guidance	N/A - Work carried forward to 2025/6
Social Care Debt Management	N/A - Work carried forward to 2025/6
Business Planning	N/A - Work carried forward to 2025/6
Quality Assurance in Childrens Social Care	N/A - Work carried forward to 2025/6
Payroll	N/A - Work carried forward to 2025/6
Debt Recovery 24 - 25	N/A - Work carried forward to 2025/6
Case 179 - Steeper Ltd	N/A - Work carried forward to 2025/6
Case 174 - Brokerage	N/A - Work carried forward to 2025/6