Northamptonshire & Cambridgeshire Pension Funds Responsible Investment Questionnaire

Section A: Your Pension Fund Status

A1. Which category best describes your membership in the Pension Fund?

☐ **Pensioner** (currently receiving a pension)

☐ **Active** (employed by an entity within the Pension Fund and currently paying into the pension)

☐ **Deferred** (no longer paying in, but not yet drawing a pension)

A2. Which age range do you fall into?

☐ <18

☐ 18-29

☐ 30-39

☐ 40-49

☐ 50-59

☐ 60-69

☐ 70+

☐ Prefer not to say

Section B: Awareness and Understanding

Responsible investment involves considering environmental, social and governance (ESG) issues when making investment decisions and influencing companies or assets (known as active ownership or stewardship).

Responsible investors can have different objectives. Some focus exclusively on financial returns and consider ESG issues that could impact these. Others aim to generate financial returns and to achieve positive outcomes for people and the planet, while avoiding negative ones.

To view our Responsible Investment policy please click here.

B1. On a scale of 1 (Not familiar) to 10 (Extremely familiar), how familiar are you with the Fund's Responsible Investment Policy?

1	2	3	4	5	6	7	8	9	10

B2. Are you aware that the Pension Fund has a target to achieve net-zero emissions by 2050 at the latest?

- Yes
- No

B3. As a member, my view is that ESG issues:

- Create investment risk, which should be measured and managed appropriately
- Drive new opportunities, which the Fund should explore
- Create both risk and opportunity, each of which should be reviewed
- Create neither material risk nor opportunity and should not be a priority at this time.

B4. I believe that the Fund's current approach to managing RI/ESG related risks and opportunities:

- Is appropriate
- Could go further, and should be reviewed
- Goes too far, and should be reviewed
- Unsure

Section C: ESG Factors

Environmental: *Energy use, waste disposal, raw material sourcing, carbon emissions, water usage, recycling process*

C1. On a scale of 1 (Not Important) to 10 (Extremely Important), how important is it for the Pension Fund to consider these when making investment decisions?

1	2	3	4	5	6	7	8	9	10

C2. Personal Views - Environmental

Personal Views	Strongly Agree	Somewhat Agree	Disagree
Climate change is important to me.	○	○	○
Climate related risks extend beyond carbon emissions and should also consider nature-related, biodiversity-related or natural capital-related issues	○	○	○
We can achieve attractive risk adjusted returns by being early adopters in innovations that help to solve sustainability issues	○	○	○

Social: *Diversity, treatment of minorities, opportunities for women, employee rights, charitable activities, community work, use of agency workers*

C3. On a scale of 1 (Not Important) to 10 (Extremely Important), how important is it for the Pension Fund to consider these factors when making investment decisions?

1	2	3	4	5	6	7	8	9	10

C4. Personal Views – Social

Personal Views	Strongly Agree	Somewhat Agree	Disagree
It is important to me that my investments help to promote a better, healthier world.	○	○	○
The Fund should prioritise local investment (defined as capturing both UK and regional focused investments) over a global approach	○	○	○
Investing ethically is important to me.	○	○	○
Religious aspects in investment are important to me.	○	○	○

Governance: *Composition of board, external trustees, available share classes, interaction with shareholders, remuneration, voters' rights*

C5. On a scale of 1 (Not Important) to 10 (Extremely Important), how important is it for the Pension Fund to consider these factors when making investment decisions?

1	2	3	4	5	6	7	8	9	10

C6. Personal Views – Governance

Personal Views	Strongly Agree	Somewhat Agree	Disagree
It is important to me that companies are well-governed and well-managed.	○	○	○
Well governed companies perform better over the long term.	○	○	○

Section D: Other Views

D1. On a scale of 1 (Completely Opposed) to 10 (Completely Supportive), how do you feel about the Fund investing in:

D1A. Fossil fuel companies

1	2	3	4	5	6	7	8	9	10

D1B. Tobacco industry

1	2	3	4	5	6	7	8	9	10

D1C. Weapons manufacturing

1	2	3	4	5	6	7	8	9	10

D2. Personal Views on Stewardship

Personal Views	Strongly Agree	Somewhat Agree	Disagree
Using voting rights, and influence as owners of capital, is an effective method of instigating change, and can increase risk-adjusted returns.	○	○	○
Companies held that are not well aligned with the Fund's RI beliefs should be engaged with, in the first instance, to influence positive change, rather than excluded from the portfolio?	○	○	○

D3. Please choose up to five of the following United Nations Sustainable Development Goals (*Theses are a collection of 17 global goals adopted by all United Nations Member States. They are designed to be a "blueprint to achieve a better and more sustainable future for all" – www.un.org)* you think are priorities that the Fund could consider/address:

- **1. No poverty**: End poverty in all its forms everywhere
- **2. Zero hunger**: End hunger, achieve food security and improved nutrition and promote sustainable agriculture
- **3. Good health and well-being**: Ensure healthy lives and promote well-being for all at all ages
- **4. Quality education**: Ensure inclusive and equitable quality education and promote lifelong learning opportunities for all
- **5. Gender equality**: Achieve gender equality and empower all women and girls
- **6. Clean water and sanitation**: Ensure availability and sustainable management of water and sanitation for all
- **7. Affordable and clean energy**: Ensure access to affordable, reliable, sustainable and modern energy for all
- **8. Decent work and economic growth**: Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all
- **9. Industry, innovation and infrastructure**: Build resilient infrastructure, promote inclusive and sustainable industrialisation and foster innovation
- **10. Reduced inequalities**: Reduce inequality within and among countries
- **11. Sustainable cities and communities**: Make cities and human settlements inclusive, safe, resilient and sustainable
- **12. Responsible consumption and production**: Ensure sustainable consumption and production patterns
- **13. Climate action**: Take urgent action to combat climate change and its impacts
- **14. Life below water**: Conserve and sustainably use the oceans, seas and marine resources for sustainable development
- **15. Life on land**: Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss
- **16. Peace, justice and strong institutions**: Promote peaceful and inclusive societies for sustained development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels
- **17. Partnerships for the goals**: Strengthen the means of implementation and revitalise the global partnership for sustainable development

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Section E: Member Communication

15. Would you be interested in receiving **more detailed updates** on the Pension Fund's responsible investment practices?

- Yes, I'd like **regular updates** (e.g., quarterly/annually)
- Yes, but only for **major changes**
- No, I am not interested

16. What are your **preferred methods** for receiving information about the Fund's responsible investment activities? *(Select all that apply)*

    - Email newsletters
    - Website updates
    - Annual reports
    - Webinars or informational sessions
    - Social media updates
    - No preference

Section F: Final Comments

18. Do you have any **additional comments** or suggestions regarding the Pension Fund's responsible investment approach?
    (Free text response)